



Release Handbook Summary

Release 140 for Listing, Prospectus, and Disclosure and Transparency Rules

This release contains pages to be inserted into your paper version of the Handbook in order to bring it up to date. These changes came into force between 7 July 2013 and 6 August 2013.

Please note that you will only receive updated pages to Handbook modules that you have subscribed to when there are changes to those modules.

Therefore, there will be some months when you may not receive any updated release pages. If you have a query regarding your modules please see the Instruments listed by module on the Handbook website, http://fshandbook.info/FS/InstrumentsByDate.jsp. A checklist, detailing which release number last updated pages within the Handbook hardcopy, is available. To view this, please visit <a href="http://www.fca.org.uk/your-fca/list?ttypes=Handbook&yyear=&ssearch="http://www.fca.org.uk/your-fca/list?ttypes=Handbook&yyear=&ssearch="http://www.fca.org.uk/your-fca/list?ttypes=Handbook&yyear=&ssearch="http://www.fca.org.uk/your-fca/list?ttypes=Handbook&yyear=&ssearch="http://www.fca.org.uk/your-fca/list?ttypes=Handbook&yyear=&ssearch="http://www.fca.org.uk/your-fca/list?ttypes=Handbook&yyear=&ssearch="http://www.fca.org.uk/your-fca/list?ttypes=Handbook&yyear=&ssearch="http://www.fca.org.uk/your-fca/list?ttypes=Handbook&yyear=&ssearch="http://www.fca.org.uk/your-fca/list?ttypes=Handbook&yyear=&ssearch="http://www.fca.org.uk/your-fca/list?ttypes=Handbook&yyear=&ssearch="http://www.fca.org.uk/your-fca/list?ttypes=Handbook&yyear=&ssearch="http://www.fca.org.uk/your-fca/list?ttypes=Handbook&yyear=&ssearch="http://www.fca.org.uk/your-fca/list?ttypes=Handbook&yyear=&ssearch="http://www.fca.org.uk/your-fca/list?ttypes=Handbook&yyear=&ssearch="http://www.fca.org.uk/your-fca/list?ttypes=Handbook&yyear=&ssearch="http://www.fca.org.uk/your-fca/list?ttypes=Handbook&yyear=&ssearch="http://www.fca.org.uk/your-fca/list?ttypes=Handbook&yyear=&ssearch="http://www.fca.org.uk/your-fca/list?ttypes=Handbook&yyear=&ssearch="http://www.fca.org.uk/your-fca/list?ttypes=Handbook&yyear=&ssearch="http://www.fca.org.uk/your-fca/list?ttypes=Handbook&yyear=&ssearch="http://www.fca.org.uk/your-fca/list?ttypes=Handbook&yyear=&ssearch="http://www.fca.org.uk/your-fca/list?ttypes=Handbook&yyear=&ssearch="http://www.fca.org.uk/your-fca/list?ttypes=Handbook&yyear=&ssearch="http://www.fca.org.uk/your-

Please remove existing pages and insert the new pages into your Handbook as follows:

CHANGES TO	REMOVE		INSERT			
SOURCEBOOK OR MANUAL	Page number	Number of sheets/ leaves	Page number	Number of sheets/ leaves	BOARD DATE	EFFECTIVE DATE
LISTING, PROSPECTUS, AND DISCLOSURE AND TRANSPARENCY						
LR: LISTING RULES (last updated in Release 139)						

CHANGES TO SOURCEBOOK OR MANUAL		REMOVE		INSERT		00400	
		Page number	Number of sheets/ leaves	Page number	Number of sheets/ leaves	BOARD DATE	EFFECTIVE DATE
Chapter 15	15.2.19 [new]; 15.4.7A [new];	5 – 6 9 – 10	1	5 – 6 9 – 10	1	25 July 2013	1 August 2013

^{*} In addition to pages containing the Handbook paragraph changes listed, we are also issuing replacement pages for certain parts of this chapter following a change in page layout; these pages contain no further textual changes.

^{***} N.B: This annex/appendix consists of one or more PDFs. Please see the relevant part of the Handbook Online to view, download and/or complete the most recent version.



^{**} N.B: This annex consists of one or more forms. Please see the Handbook Online to view, download and/or complete the most recent version of this form: http://fshandbook.info/FS/usefullinks/forms.jsp