**Partial amendment of rules**

Section 1 – About this form

Use this form to register a partial rule change for a society registered under the Co-operative and Community Benefit Societies Act (Northern Ireland) 1969(‘1969 Act’) .Partial amendments change, add to, or delete some rules from the existing registered rules. If your rule amendment involves the renumbering of rules, please apply for a complete amendment instead.

* + 1. To register a partial amendment of rules we need:
* this completed form
* one signed copy of the proposed rule amendment (or two copies where not submitting electronically). The amendment should be worded as a direction to members, telling them exactly what they should do to make the necessary changes to their own copy of the rule book. Please see page x for an example.
* a marked up version of the rules tracking changes made to the model when a set of model rules is used
* a completed Statutory Declaration form.
	+ - 1. This form, including any details provided on the form, will be made available to the public through the Mutuals Public Register.
			2. Before you start completing this form you may find it helpful to refer to our [current guidance](https://www.fca.org.uk/static/documents/fg15-12.pdf) on the FCA’s registration function under the Co-operative and Community Benefit Societies Act 2014 for mutual societies in Great Britain
			3. Chapter 3 of our guidance sets out our approach to the registration function under the 2014 Act:
			4. [https://www.fca.org.uk/publication/finalised-guidance/fg15-12.pdf](https://www.fca.org.uk/publication/finalised-guidance/fg15-12.pdf%20)

Section 2 – About this application

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| Society name |  |
| Register number |  |

* + - 1. **2.1 Who should we contact about this application?**

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| --- | --- |
| Name |  |
| Role |  |
| Email address |  |
| Phone number |  |

Section 3– Conditions for registration.

All societies are registered meeting one of two conditions for registration. These are that the society is either:

* a bona fide co-operative society (‘co-operative society’); or
* conducting business for the benefit of the community (‘community benefit society’).

We must maintain arrangements that are designed to enable us to determine whether a society is complying with the 1969 Act. One way we do this is by requiring societies to complete the questions at either sections 3A or 3B of this form when submitting a rule amendment.

Please only answer one set of questions:

* section 3A for bona fide co-operatives; **or**
* section 3B for societies conducting their business for the benefit of the community.

If you are not sure which of these two conditions for registration applies to you, please read chapters 4 and 5 of our guidance: [https://www.fca.org.uk/publication/finalised-guidance/fg15-12.pdf](https://www.fca.org.uk/publication/finalised-guidance/fg15-12.pdf%20)

**Section 3A – Co-operative societies**

Complete this section if your society is a bona fide co-operative society.

* + - 1. **3A.1 What is the business of the society?** For example, do you provide housing, manufacture goods, develop IT systems, etc.

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* + - 1. **3A.2 Please describe the members’ common economic, social and cultural needs and aspirations.** In answering this question, please make sure it is clear what needs and aspirations members have in common.

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3A.3 How does the society’s business meet those needs and aspirations? You have described the society’s business answer to question 3A.1, and in question 3A.2 you have described the common needs and aspirations of members. Please now describe how that business meets those common needs and aspirations.

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* + - 1. **3A.4 How do members democratically control the society?** For example, do the members elect a board at an annual general meeting; do all members collectively run the society.

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**3A.5 What does the society do with any surplus or profit?** For instance, do you pay a dividend to members (and if so, on what basis); does money get reinvested in the business; put into reserves; used for some other purpose?

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* + - 1. **3A.6 Please state any close links which any of the directors has with any society, company or authority.** ‘Close links’ includes any directorships or senior positions held by directors of the society in other organisations.

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**Please go to Section 4.**

**Section 3B – Community benefit societies**

Complete this section if your society conducts its business for the benefit of the community.

* + - 1. **3B.1 What is the business of the society?** For example, do you provide social housing, run an amateur sports club etc.

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* + - 1. **3B.2 Please describe the benefits to the community the society?** Here we are looking to see *what* the benefits to the community are. Community can be said to be the community at large. For example, do you relieve poverty or homelessness through providing social housing.

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* 1. 3B.3 Please describe how the society’s business delivers these benefits? The business of the society must be conducted for the benefit of the community. Please describe *how* the society’s business (as described in answer to question 3B.1) provides benefit to the community.

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* + - 1. **3B.4 Does the society work with a specific community, and if so, please describe it here?** For instance, are the society’s activities confined to a specific location; or to a specific group of people? Please note that in serving the needs of any defined community, the society should not inhibit the benefit to the community at large.

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**3B.5 What does the society do with any surplus or profit?** For instance, do you donate the money; does money get reinvested in the business; put into reserves; used for some other purpose?

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**3B.6** **Please state any significant commercial arrangements that the society has, or had, with any other organisation that could create, or be perceived as creating, a conflict of interest.** Please tell us how you ensure that any such conflict of interest does not prevent the society from acting for the benefit of the community.

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* + - 1. **3B.7 Please state any close links which any of the directors has with any society, company or authority.** ‘Close links’ includes any directorships or senior positions held by directors of the society in other organisations.

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**Please go to Section 4.**

Section 4 – Completing this application

* + - 1. **4.1 Please confirm the proposed rule amendment has been signed by 3 members and the secretary (4 signatures in total)**

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| The rules contain the required signatures |[ ]

4.2 Please confirm which of the following you are submitting:

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| One electronic, scanned signed copy of the rules |[ ]
| **Or** two paper copies of the rules |[ ]

4.3 Please confirm you have included a marked-up copy of the rules showing what changes the society is making to its existing rules.

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| A marked-up copy of the rules is enclosed |[ ]

Section 5 – Statutory Declaration

5.1 Please confirm you have completed and are submitting a Statutory Declaration along with this application form.

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| Completed Statutory Declaration enclosed  |[ ]

Section 6 – Submitting this form

* + - 1. Please submit a signed, scanned version of your application by email to: mutual.societies@fca.org.uk
			2. Or please submit by post to:
			3. Mutual Societies
			4. Financial Conduct Authority
			5. 25 The North Colonnade
			6. Canary Wharf
			7. London
			8. E14 5HS

Example partial amendment

**Partial amendment of rules of [Society Name]**

Register number:

**Rule 6**, line 8

Delete 'seven' and substitute 'eight'.

**Rule 7**, line 12

After 'member' insert 'who joined before 1 September 1972.'.

**Rule** 8

At the end, add the following new paragraph (4).

'(4) .....................................

**Rule** 10

Rescind the rule.

**Rule 12**, paragraph (1)

Delete the paragraph and substitute: ‘(1) .......................................

**Rule 15** ( as amended 1967, 1969,1972, 1974 )

Rescind the rule and substitute:

'Powers of Committee'

15. ...............................................

**Rule 23** ( as amended 1970 ), paragraph (2), line 3.

In line 2 of the wording introduced by the partial amendment of rules registered on 15 August 1970 delete '£60' and substitute '£75'.

Signatures of three members

Signature of the secretary