

FINANCIAL SERVICES COMPENSATION SCHEME (FSCS) - EXEMPTION FROM LEVIES (FEES Chapter 6.2)

1. You may be eligible to claim exemption from compensation and specific costs levies made by FSCS.
2. Eligibility is covered under the conditions in our Handbook of rules and guidance (available on the internet at in the [Fees](#) section of the Compensation Sourcebook. To be eligible, you must not conduct and have no reasonable likelihood of conducting, business that could give rise to a *protected claim* by an *eligible claimant*.
3. Please note that the FSCS definition of *eligible claimants* is not exactly the same as the definition of *eligible complainants* for the purposes of the Financial Ombudsman Service (FOS). **Exemption from levies made by FOS does not automatically entitle you to exemption from FSCS levies.** There is further information about *eligible claimants* and *protected claims* in Chapters 4 and 5 of [COMP](#) respectively.
4. If you are eligible to make an exemption notification, and you are not already registered with us as being exempt, then please complete and return the declaration below and email this to feetariffreturns@fca.org.uk or by post to FCA Revenue, Tariff Data Collection, 12 Endeavour Square, London E20 1JN. We **must** receive this by **31 March for you to be exempt** for the following and future levy year. **If you do not meet this deadline you will be liable for the full levy.**
5. Once you have a valid exemption in place, this will automatically roll forward so you won't have to apply for exemption every year, but [FEES 6.2.4R](#) requires you to inform the FSCS as soon as reasonably practical if after you file for exemption you then find that the conditions necessary for exemption no longer apply.
6. If you have any queries please contact your normal supervisory contact.

Declaration – please complete and return to the FCA

I give notice that in line with [FEES 6.2.1R](#), the firm named below does not conduct business that could give rise to a *protected claim* by an *eligible claimant* and has no reasonable likelihood of doing so in the future.

Name of firm	
FRN	
Contact Name	
Signature	
Position	
Date	