Figure 1

Business as Usual → preparation		Financial Distress → early intervention		Failing/likely to fail → resolution
 Recovery plan prepared by firm assessed by the CA plans are agreed between relevant CAs and passed on to RA Resolution plan prepared by the RA firms submit information to the RA either directly or via the CA 	Recovery tools	 Early intervention triggers Where these have been breached by the firm the CA may: use an early intervention tool implement the recovery plan The CA must inform	Conditions for resolution	sector measures to prevent failure within reasonable timeframe