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Rule Ref.	Firm Name	FSA Reg. No.	Start date	Expiry date	Waiver Type
COMP16.1.1	AIB Group (UK) Plc	122088	31/07/2012	31/07/2013	Modification
COMP16.1.1	AIB Group (UK) Plc	122088	01/08/2013	31/01/2016	Modification
COMP16.1.1	AIB Group (UK) Plc	122088	24/08/2010	31/12/2010	Modification
COMP16.1.1	Barclays Bank Plc	122702	29/12/2010	31/07/2012	Modification
COMP16.1.1	Barclays Bank Plc	122702	17/08/2011	31/07/2012	Modification
COMP16.1.1	Barclays Bank Plc	122702	31/07/2012	31/07/2013	Modification
COMP16.1.1	C Hoare & Co	122093	23/08/2010	31/07/2012	Modification
COMP16.1.1	Canada Square Operations Limited	205621	29/12/2010	31/07/2012	Modification
COMP16.1.1	Canada Square Operations Limited	205621	28/04/2010	31/12/2010	Modification
COMP16.1.1	Canada Square Operations Limited	205621	01/08/2012	31/07/2013	Modification
COMP16.1.1	Citibank International Limited	122342	06/05/2010	31/12/2010	Modification
COMP16.1.1	Citibank International Limited	122342	03/07/2012	31/07/2013	Modification
COMP16.1.1	Citibank International Limited	122342	29/12/2010	31/07/2012	Modification
COMP16.1.1	Citibank International Limited	122342	01/08/2013	31/01/2016	Modification
COMP16.1.1	Coutts & Company	122287	01/02/2013	31/01/2016	Modification
COMP16.1.1	Coutts & Company	122287	30/12/2010	31/07/2012	Modification
COMP16.1.1	HSBC Bank Plc	114216	06/07/2010	31/07/2012	Modification
COMP16.1.1	J.P. Morgan Europe Limited	124579	11/06/2012	31/07/2013	Modification
COMP16.1.1	J.P. Morgan Europe Limited	124579	29/12/2010	31/07/2012	Modification
COMP16.1.1	Lloyds Bank PLC	119278	24/12/2010	31/07/2012	Modification
COMP16.1.1	Lloyds Bank PLC	119278	02/08/2013	31/01/2016	Modification
COMP16.1.1	Lloyds Bank PLC	119278	25/07/2012	31/07/2013	Modification
COMP16.1.1	Lloyds Bank PLC	119278	30/03/2010	31/12/2010	Modification
COMP16.1.1	Marks & Spencer Financial Services Plc	151427	27/01/2010	31/07/2012	Modification
COMP16.1.1	National Westminster Bank Plc	121878	01/02/2013	31/01/2016	Modification
COMP16.1.1	National Westminster Bank Plc	121878	30/12/2010	31/07/2012	Modification
COMP16.1.1	Nationwide Building Society	106078	29/12/2010	31/07/2012	Modification

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COMP16.1.1	Nationwide Building Society	106078	17/07/2012	31/07/2013	Modification
COMP16.1.1	Nationwide Building Society	106078	27/01/2010	31/12/2010	Modification
COMP16.1.1	Nationwide Building Society	106078	01/08/2013	31/01/2016	Modification
COMP16.1.1	NatWest Markets Plc	121882	01/02/2013	31/01/2016	Modification
COMP16.1.1	NatWest Markets Plc	121882	30/12/2010	31/07/2012	Modification
COMP16.1.1	Northern Bank Limited	122261	29/12/2010	31/07/2012	Modification
COMP16.1.1	Sainsbury's Bank Plc	184514	01/06/2011	31/07/2012	Modification
COMP16.1.1	Santander UK Plc	106054	05/10/2010	31/12/2010	Modification
COMP16.1.1	Santander UK Plc	106054	24/12/2010	31/07/2012	Modification
COMP16.1.1	Santander UK Plc	106054	20/06/2012	31/07/2013	Modification
COMP16.1.1	Santander UK Plc	106054	02/08/2013	31/01/2016	Modification
COMP16.1.1	Tesco Personal Finance PLC	186022	01/08/2012	31/07/2013	Modification
COMP16.1.1	Tesco Personal Finance PLC	186022	08/01/2010	31/12/2010	Modification
COMP16.1.1	Tesco Personal Finance PLC	186022	30/12/2010	31/07/2012	Modification
COMP16.1.1	Tesco Personal Finance PLC	186022	15/08/2013	31/01/2016	Modification
COMP16.1.1	The Co-operative Bank Plc	121885	30/05/2013	31/01/2016	Modification
COMP16.1.1	The Co-operative Bank Plc	121885	19/02/2010	31/07/2012	Modification
COMP16.1.1	The Royal Bank of Scotland Plc	114724	01/02/2013	31/01/2016	Modification
COMP16.1.1	The Royal Bank of Scotland Plc	114724	30/12/2010	31/07/2012	Modification
COMP16.1.1	TSB Bank plc	191240	18/04/2013	31/07/2013	Modification
COMP16.1.1	Ulster Bank Ltd	122315	01/02/2013	31/01/2016	Modification
COMP16.1.1	Ulster Bank Ltd	122315	30/12/2010	31/07/2012	Modification
COMP17.2.3	AIB Group (UK) Plc	122088	31/07/2012	31/07/2013	Modification
COMP17.2.3	AIB Group (UK) Plc	122088	01/08/2013	31/01/2016	Modification
COMP17.2.3	Barclays Bank Plc	122702	29/12/2010	31/07/2012	Modification
COMP17.2.3	Canada Square Operations Limited	205621	01/08/2012	31/07/2013	Modification
COMP17.2.3	Canada Square Operations Limited	205621	01/08/2012	31/07/2013	Modification
COMP17.2.3	Cater Allen Limited	178737	05/07/2012	31/07/2013	Modification
COMP17.2.3	Citibank International Limited	122342	01/08/2012	31/07/2013	Modification
COMP17.2.3	J.P. Morgan Europe Limited	124579	30/12/2010	31/07/2012	Modification

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COMP17.2.3	Lloyds Bank PLC	119278	25/07/2012	31/07/2013	Modification
COMP17.2.3	Northern Bank Limited	122261	29/12/2010	31/07/2012	Modification
COMP17.2.3	TSB Bank plc	191240	18/04/2013	31/07/2013	Modification
COMP17.2.3(1)	AIB Group (UK) Plc	122088	17/08/2010	30/06/2011	Modification
COMP17.2.3 (1)	Barclays Bank Plc	122702	31/07/2012	31/07/2013	Modification
COMP17.2.3(1)	Canada Square Operations Limited	205621	28/04/2010	30/06/2011	Modification
COMP17.2.3 (1)	Cater Allen Limited	178737	02/08/2013	31/01/2016	Modification
COMP17.2.3 (1)	Citibank International Limited	122342	01/08/2013	31/01/2016	Modification
COMP17.2.3 (1)	Citibank International Limited	122342	06/05/2010	31/07/2012	Modification
COMP17.2.3 (1)	Coutts & Company	122287	01/02/2013	31/01/2016	Modification
COMP17.2.3 (1)	Coutts & Company	122287	13/07/2010	31/07/2012	Modification
COMP17.2.3 (1)	HSBC Bank Plc	114216	11/02/2010	31/07/2012	Modification
COMP17.2.3(1)	HSBC Private Bank (UK) Limited	124781	06/04/2010	30/06/2011	Modification
COMP17.2.3 (1)	J.P. Morgan Europe Limited	124579	11/06/2012	31/07/2013	Modification
COMP17.2.3 (1)	Lloyds Bank PLC	119278	02/08/2013	31/01/2016	Modification
COMP17.2.3(1)	Lloyds Bank PLC	119278	30/03/2010	30/06/2011	Modification
COMP17.2.3 (1)	MBNA Limited	204487	14/10/2010	30/06/2011	Modification
COMP17.2.3 (1)	National Westminster Bank Plc	121878	13/07/2010	31/07/2012	Modification
COMP17.2.3 (1)	National Westminster Bank Plc	121878	01/02/2013	31/01/2016	Modification
COMP17.2.3 (1)	NatWest Markets Plc	121882	01/02/2013	31/01/2016	Modification
COMP17.2.3 (1)	NatWest Markets Plc	121882	13/07/2010	31/07/2012	Modification
COMP17.2.3(1)	Sainsbury's Bank Plc	184514	19/04/2010	31/07/2012	Modification
COMP17.2.3 (1)	Santander UK Plc	106054	20/06/2012	31/07/2013	Modification
COMP17.2.3 (1)	Santander UK Plc	106054	02/08/2013	31/01/2016	Modification
COMP17.2.3 (1)	Santander UK Plc	106054	05/10/2010	31/07/2012	Modification
COMP17.2.3 (1)	Tesco Personal Finance PLC	186022	08/01/2010	31/07/2012	Modification
COMP17.2.3 (1)	Tesco Personal Finance PLC	186022	01/08/2012	31/07/2013	Modification
COMP17.2.3 (1)	Tesco Personal Finance PLC	186022	15/08/2013	31/01/2016	Modification
COMP17.2.3(1)	The Co-operative Bank Plc	121885	21/09/2010	30/06/2011	Modification
COMP17.2.3 (1)	The Co-operative Bank Plc	121885	30/05/2013	31/01/2016	Modification

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COMP17.2.3 (1)	The Royal Bank of Scotland Plc	114724	13/07/2010	31/07/2012	Modification
COMP17.2.3 (1)	The Royal Bank of Scotland Plc	114724	01/02/2013	31/01/2016	Modification
COMP17.2.3 (1)	Ulster Bank Ltd	122315	01/02/2013	31/01/2016	Modification
COMP17.2.3 (1)	Ulster Bank Ltd	122315	13/07/2010	31/07/2012	Modification
COMP17.2.3 (2c)	Citibank, N.A.	124704	04/11/2014	31/12/2015	Modification
COMP17.2.3 (2c)	J.P. Morgan Europe Limited	124579	21/12/2012	31/12/2015	Modification
COMP17.2.3 (2c)	J.P. Morgan International Bank Limited	190750	20/10/2014	31/12/2015	Modification
COMP17.2.3 (2C)	JPMorgan Chase Bank, N.A.	124491	21/12/2012	31/12/2015	Modification
COMP17.2.8	AIB Group (UK) Plc	122088	31/01/2011	31/01/2016	Modification
COMP17.2.8	Circle Credit Union Limited	573876	29/11/2012	28/11/2015	Modification
COMP17.2.8	Credit Suisse International	146702	22/07/2011	03/07/2015	Modification
COMP17.2.8	EFG Private Bank Limited	144036	19/01/2011	19/01/2016	Modification
COMP17.2.8	Investec Bank PLC	172330	12/10/2012	03/07/2015	Modification
COMP17.2.8	Leeds Building Society	164992	31/12/2010	31/12/2015	Modification
COMP17.2.8	National Bank of Egypt (UK) Limited	204520	20/06/2011	03/07/2015	Modification
COMP17.2.8	NatWest Markets Plc	121882	15/02/2012	03/07/2015	Modification
COMP17.2.8	Newcastle Building Society	156058	01/02/2011	31/01/2015	Modification
COMP17.2.8	Skipton Building Society	153706	26/01/2011	26/01/2016	Modification
COMP17.2.8	West Bromwich Building Society	104877	26/01/2011	26/01/2016	Modification
COMP17.2.3R (1)	Coutts & Company	122287	29/12/2014	03/07/2015	Modification
COMP17.2.8R	Newcastle Building Society	156058	31/01/2015	03/07/2015	Modification
COMP16.3.1	Hampshire Trust Bank Plc	204601	23/08/2010	31/12/2010	Modification
COMP16.3.1	AMC Bank Ltd	204591	01/04/2010	31/12/2010	Modification
COMP16.3.1	Barclays Bank Plc	122702	29/01/2013	01/04/2013	Modification
COMP16.3.1	Butterfield Mortgages Limited	119274	25/01/2010	31/12/2010	Modification
COMP16.3.1	CAF Bank Ltd	204451	28/01/2013	01/04/2013	Modification
COMP16.3.1	Canada Square Operations Limited	205621	24/03/2010	31/12/2010	Modification
COMP16.3.1	Canada Square Operations Limited	205621	11/03/2011	11/03/2016	Modification
COMP16.3.1	Cater Allen Limited	178737	21/06/2010	31/12/2010	Modification
COMP16.3.1	Coutts & Company	122287	18/01/2013	01/04/2013	Modification

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COMP16.3.1	EFG Private Bank Limited	144036	04/10/2010	31/12/2010	Modification
COMP17.3.1	HAMPDEN & CO PLC	606934	19/12/2014	03/07/2015	Modification
COMP16.3.1	HSBC Bank Plc	114216	01/01/2010	31/12/2010	Modification
COMP16.3.1	Investec Bank PLC	172330	01/01/2010	31/12/2010	Modification
COMP16.3.1	J.P. Morgan Europe Limited	124579	27/01/2011	27/01/2016	Modification
COMP16.3.1	J.P. Morgan Europe Limited	124579	04/02/2013	01/04/2013	Modification
COMP16.3.1	J.P. Morgan Europe Limited	124579	27/01/2010	31/12/2010	Modification
COMP16.3.1	J.P. Morgan International Bank Limited	190750	12/03/2010	11/03/2015	Modification
COMP16.3.1	JPMorgan Chase Bank, N.A.	124491	27/01/2010	31/12/2010	Modification
COMP16.3.1	JPMorgan Chase Bank, N.A.	124491	27/01/2011	27/01/2016	Modification
COMP16.3.1	JPMorgan Chase Bank, N.A.	124491	04/02/2013	01/04/2013	Modification
COMP16.3.1	Liverpool Victoria Banking Services Limited	204551	01/01/2010	31/12/2014	Modification
COMP16.3.1	Marks & Spencer Financial Services Plc	151427	01/01/2010	31/12/2010	Modification
COMP16.3.1	N M Rothschild & Sons Ltd	124451	01/03/2013	01/04/2013	Modification
COMP16.3.1	National Counties Building Society	206080	31/08/2010	31/12/2010	Modification
COMP16.3.1	National Westminster Bank Plc	121878	18/01/2013	01/04/2013	Modification
COMP16.3.1	Nationwide Building Society	106078	28/11/2012	01/04/2013	Modification
COMP16.3.1	NatWest Markets Plc	121882	18/01/2013	01/04/2013	Modification
COMP16.3.1	Sainsbury's Bank Plc	184514	05/02/2010	31/12/2010	Modification
COMP16.3.1	Scottish Widows Bank Plc	201601	01/04/2010	31/12/2010	Modification
COMP16.3.1	Talos Securities Limited	208271	01/03/2013	01/04/2013	Modification
COMP16.3.1	Tesco Personal Finance PLC	186022	01/01/2010	31/12/2010	Modification
COMP16.3.1	The Bank of New York Mellon	122467	15/09/2010	31/12/2010	Modification
COMP16.3.1	The Bank of New York Mellon (International) Limited	183100	15/09/2010	31/12/2010	Modification
COMP16.3.1	The Co-operative Bank Plc	121885	18/02/2013	01/04/2013	Modification
COMP16.3.1	The Co-operative Bank Plc	121885	01/01/2010	31/12/2010	Modification
COMP16.3.1	The Royal Bank of Scotland Plc	114724	18/01/2013	01/04/2013	Modification
COMP16.3.1	Ulster Bank Ltd	122315	18/01/2013	01/04/2013	Modification
COMP16.3.3	Bank of America Merrill Lynch International Designated Activity Co	435887	01/06/2010	31/05/2012	Modification
COMP17.3.10	Citibank International Limited	122342	17/12/2013	03/07/2015	Waiver

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COMP17.3.1R	Paragon Bank Plc	604551	08/05/2014	30/11/2014	Modification