

The FCA Register is at					
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Rule Ref.	Firm Name	FSA Reg. No.	Start date	Expiry date	Waiver Type
COLL14.2.1	Santander Asset Management UK Limited	122491	05/02/2014	30/06/2014	Modification
COLL7.2.1 (1)	Intrinsic Cirilium Investment Company Limited	195747	16/12/2008	05/01/2009	Modification
COLL7.2.1 (3)	Intrinsic Cirilium Investment Company Limited	195747	16/12/2008	05/01/2009	Modification
COLL7.2.1 (4)	Intrinsic Cirilium Investment Company Limited	195747	16/12/2008	05/01/2009	Modification
COLL6.2.6	Standard Life Investments (Mutual Funds) Limited	146477	21/08/2013	31/12/2014	Modification
COLL14.2.7	Santander Asset Management UK Limited	122491	05/02/2014	30/06/2014	Modification
COLL14.2.9	Santander Asset Management UK Limited	122491	05/02/2014	30/06/2014	Modification
COLL14.2.14	Santander Asset Management UK Limited	122491	05/02/2014	30/06/2014	Modification
COLL7.2.15 (2)	Pershing Securities Ltd	146576	24/06/2008	24/09/2008	Waiver
COLL6.2.21R (1)	Alpha Real Capital LLP	436048	09/07/2018	01/01/2019	Modification
COLL3.3.5 (2)	Quilter Investors Limited	208543	29/02/2008	28/02/2013	Modification
COLL3.3.5 (4)	Praemium Administration Limited	463566	31/03/2008	30/03/2013	Modification
COLL3.3.5 (4)	Quilter Investors Limited	208543	29/02/2008	28/02/2013	Modification
COLL6.3.11	Praemium Administration Limited	463566	31/03/2008	30/03/2013	Modification
COLL14.3.11	Santander Asset Management UK Limited	122491	05/02/2014	30/06/2014	Modification
COLL4.5.3	Threadneedle Investment Services Limited	190437	16/03/2010	15/03/2013	Modification
COLL4.5.5	HBOS Investment Fund Managers Limited	119223	21/05/2014	30/06/2016	Modification
COLL4.5.5	Ignis Fund Managers Limited	122188	07/11/2014	30/06/2016	Modification
COLL4.5.5	Man Managed Funds ICVC	465345	08/02/2012	30/06/2014	Modification
COLL4.5.5	Premier Portfolio Managers Limited	122067	30/06/2014	30/06/2016	Modification
COLL4.5.5	Santander Asset Management UK Limited	122491	05/02/2014	30/06/2014	Modification
COLL4.5.5	Smith & Williamson Fund Administration Limited	122401	06/01/2014	30/06/2014	Modification
COLL4.5.5	Vanguard Investments UK	494699	08/12/2011	30/06/2014	Modification
COLL4.5.9	HBOS Investment Fund Managers Limited	119223	21/05/2014	30/06/2016	Modification
COLL4.5.9	Ignis Fund Managers Limited	122188	07/11/2014	30/06/2016	Modification
COLL4.5.9	Man Managed Funds ICVC	465345	08/02/2012	30/06/2014	Modification
COLL4.5.9	Premier Portfolio Managers Limited	122067	30/06/2014	30/06/2016	Modification

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COLL4.5.9	Santander Asset Management UK Limited	122491	05/02/2014	30/06/2014	Modification
COLL4.5.9	Smith & Williamson Fund Administration Limited	122401	06/01/2014	30/06/2014	Modification
COLL4.5.9	Vanguard Investments UK	494699	08/12/2011	30/06/2014	Modification
COLL4.5.10	Praemium Administration Limited	463566	31/03/2008	30/03/2013	Modification
COLL4.5.13	Threadneedle Investment Services Limited	190437	16/03/2010	15/03/2013	Modification
COLL4.5.14	Threadneedle Investment Services Limited	190437	16/03/2010	15/03/2013	Modification
COLL4.5.5R	M & G Securities Limited	122057	01/07/2016	31/12/2018	Modification
COLL4.5.9R	M & G Securities Limited	122057	01/07/2016	31/12/2018	Modification
COLL5.6.12 (1)	Courtiers Investment Services Limited	124995	21/12/2007	31/12/2008	Modification
COLL5.6.12 (1)	Courtiers Investment Services Limited	124995	31/12/2008	31/12/2010	Modification
COLL5.6.18	Alpha Real Capital LLP	436048	22/08/2014	22/08/2016	Modification
COLL5.6.20	Alpha Real Capital LLP	436048	22/08/2014	22/08/2016	Modification
COLL5.6.22	Intrinsic Cirilium Investment Company Limited	195747	28/10/2009	08/07/2010	Modification
COLL5.6.22 (9)	Aviva Investors International Property Fund (OEIC)	461725	13/03/2008	08/07/2010	Modification
COLL5.6.22(9)	Citibank International Limited	122342	30/04/2010	29/04/2015	Modification
COLL5.6.22 (9)	J.P. Morgan Trustee and Depositary Company Limited	122358	06/08/2008	06/08/2013	Modification
COLL5.6.22(9)	NatWest Markets Plc	121882	02/07/2010	20/03/2014	Modification
COLL5.6.22(9)	NatWest Markets Plc	121882	02/07/2010	20/03/2014	Modification
COLL4.7.1	Ignis Fund Managers Limited	122188	07/11/2014	30/06/2016	Modification
COLL4.7.1	Man Managed Funds ICVC	465345	08/02/2012	30/06/2014	Modification
COLL4.7.1	Premier Portfolio Managers Limited	122067	30/06/2014	30/06/2016	Modification
COLL4.7.1	Smith & Williamson Fund Administration Limited	122401	06/01/2014	30/06/2014	Modification
COLL4.7.1	Vanguard Investments UK	494699	08/12/2011	30/06/2014	Modification
COLL6.7.10	Baring Fund Managers Limited	119187	25/07/2008	30/09/2008	Modification
COLL6.7.10	M & G Securities Limited	122057	09/05/2008	30/09/2013	Modification
COLL6.7.10	Morgan Stanley Investment Management (ACD) Limited	230885	17/11/2008	17/11/2013	Modification
COLL6.7.10	Royal London Unit Trust Managers Limited	144037	14/04/2008	30/09/2013	Modification
COLL6.7.10	Schroder Unit Trusts Limited	197288	01/10/2008	30/09/2013	Modification
COLL6.7.10	Schroder Unit Trusts Limited	197288	20/03/2008	30/09/2008	Modification
COLL4.7.1A	HBOS Investment Fund Managers Limited	119223	21/05/2014	30/06/2016	Modification

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COLL4.7.1AR	M & G Securities Limited	122057	01/07/2016	31/12/2018	Modification
COLL6.8.3	Aberdeen Asset Management Life and Pensions Limited	187323	22/10/2012	05/10/2013	Modification
COLL6.8.3	Baring Fund Managers Limited	119187	25/07/2008	30/09/2008	Modification
COLL6.8.3	M & G Securities Limited	122057	09/05/2008	30/09/2013	Modification
COLL6.8.3	Morgan Stanley Investment Management (ACD) Limited	230885	17/11/2008	17/11/2013	Modification
COLL6.8.3	Royal London Unit Trust Managers Limited	144037	14/04/2008	30/09/2013	Modification
COLL6.8.3	Schroder Unit Trusts Limited	197288	01/10/2008	30/09/2013	Modification
COLL6.8.3	Schroder Unit Trusts Limited	197288	20/03/2008	30/09/2008	Modification