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Rule Ref.	Firm Name	FSA Reg. No.	Start date	Expiry date	Waiver Type
CISTR2.1	Scottish Widows Unit Trust Managers Limited	122129	01/12/2002	30/11/2003	Waiver
CISTR2.2	Scottish Widows Unit Trust Managers Limited	122129	01/12/2002	30/11/2003	Waiver
CIS2.2.5	Edinburgh Portfolio Limited	144851	01/12/2002	31/05/2004	Waiver
CIS2.2.5	Edinburgh Unit Trust Managers Limited	122212	01/12/2002	31/05/2004	Modification
CIS8.2.6	BNY Mellon Fund Managers Limited	122007	15/11/2004	15/11/2006	Modification
CIS2.2.6	Edinburgh Portfolio Limited	144851	01/12/2002	31/05/2004	Waiver
CIS2.2.6	Edinburgh Unit Trust Managers Limited	122212	01/12/2002	31/05/2004	Modification
CIS2.2.6	First State Investments (UK) Limited	143359	10/12/2002	30/11/2003	Waiver
CIS2.2.7	Edinburgh Portfolio Limited	144851	01/12/2002	31/05/2004	Waiver
CIS2.2.7	Edinburgh Unit Trust Managers Limited	122212	01/12/2002	31/05/2004	Modification
CIS2.2.8	Edinburgh Portfolio Limited	144851	01/12/2002	31/05/2004	Waiver
CIS2.2.8	Edinburgh Unit Trust Managers Limited	122212	01/12/2002	31/05/2004	Modification
CIS2.2.8	First State Investments (UK) Limited	143359	10/12/2002	30/11/2003	Waiver
CIS11.2.10 (1)	Santander Asset Management UK Limited	122491	26/08/2005	12/02/2007	Modification
CIS11.3.2 (1)	Prudential Unit Trusts Limited	122008	17/11/2004	17/11/2006	Modification
CIS15.3.6	Legal & General (Unit Trust Managers) Limited	119273	26/05/2005	25/05/2010	Modification
CIS15.3.6	M & G Securities Limited	122057	21/10/2005	20/10/2010	Modification
CIS15.3.8	Legal & General (Unit Trust Managers) Limited	119273	26/05/2005	25/05/2010	Modification
CIS15.3.8	M & G Securities Limited	122057	21/10/2005	20/10/2010	Modification
CIS11.3.9	SLTM Limited	119366	24/11/2005	24/11/2006	Modification
CIS3.4.2	Close Asset Management (UK) Limited	175827	13/05/2003	30/06/2003	Modification
CIS11.4.2	Close Asset Management (UK) Limited	175827	03/05/2006	31/12/2006	Waiver
CIS3.4.2	Invesco Fund Managers Limited	119298	09/02/2004	31/05/2005	Modification
CIS3.4.2	Standard Life Investment Company	186564	09/05/2003	08/05/2004	Waiver
CIS3.4.2 (1)	Close Asset Management (UK) Limited	175827	19/12/2002		Modification
CIS11.4.3	BNY Mellon Fund Managers Limited	122007	04/12/2002		Modification
CIS11.4.3	Close Asset Management (UK) Limited	175827	13/05/2003	30/06/2003	Modification

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CIS11.4.3 (1)	Close Asset Management (UK) Limited	175827	19/12/2002		Modification
CIS4.4.3 (1)	GAM Sterling Management Limited	119235	11/03/2005	01/02/2007	Modification
CIS11.4.4	Threadneedle Investment Services Limited	190437	14/01/2004	13/01/2005	Waiver
CIS4.4.4 (1)	GAM Sterling Management Limited	119235	11/03/2005	01/02/2007	Modification
CIS11.5.2 (3)	AlphaGen Capital Limited	119304	02/12/2003	29/02/2004	Waiver
CIS11.5.2 (3)	Santander Asset Management UK Limited	122491	14/02/2005	13/08/2005	Modification
CIS11.5.2 (3)	Scottish Mutual Investment Managers Ltd	119353	14/02/2005	13/08/2005	Modification
CIS11.5.2 (4)	Intrinsic Cirilium Investment Company Limited	195747	22/06/2004	30/09/2004	Modification
CIS11.5.2 (4)	Intrinsic Cirilium Investment Company Limited	195747	13/05/2004	13/07/2004	Modification
CIS12.5.7	Scottish Widows Unit Trust Managers Limited	122129	12/07/2002	12/07/2003	Modification