# **Eligibility for listing**

# **Certificates representing certain securities (depositary receipts)**

|  |  |
| --- | --- |
| *Name of Company:* |  |
| *Nature of Transaction:* |  |
| *Name of Adviser:* |  |
| *Date Submitted:* |  |

| **Rule** |  | **Page** | **Proof Number** | **Comment (where applicable)** |
| --- | --- | --- | --- | --- |
| **UKLR 3** |  |  |  |  |
| 3.2.2 (1) | Certificates: Conforms with law of place of constitution |  |  |  |
| 3.2.2 (2) | Certificates duly authorised |  |  |  |
| 3.2.2 (3) | Certificates - statutory and other consents |  |  |  |
| 3.2.3 | Certificates - admitted on regulated market or RIE (as applicable) |  |  |  |
| 3.2.4 (1) | Certificates freely transferable |  |  |  |
| 3.2.4 (2) | Certificates fully paid  |  |  |  |
| 3.2.7 (1) (a) | Certificates - minimum market capitalisation |  |  |  |
| 3.2.9 (1) | Certificates - whole class to be listed |  |  |  |
| 3.2.10 (2) | Prospectus approved by FCA and published |  |  |  |
| 3.2.11 (2) | Listing Particulars approved |  |  |  |
|  |  |  |  |  |
| **UKLR 15** |  |  |  |  |
| 15.2.3 (1) | Issuer of shares: duly incorporated |  |  |  |
| 15.2.3 (2) | Issuer of shares: operating in conformity with constitution |  |  |  |
| 15.2.4 (1) | Shares conform with law of place of constitution |  |  |  |
| 15.2.4 (2) | Share duly authorised |  |  |  |
| 15.2.4 (3) | Shares - statutory and other consents |  |  |  |
| 15.2.5 (1) | Shares freely transferable |  |  |  |
| 15.2.5 (2) | Shares fully paid |  |  |  |
| 15.2.8 | Shares admitted to trading on an overseas regulated, regularly operating, recognised open market |  |  |  |
| 15.2.9 (1) | Certificates in public hands |  |  |  |
| 15.2.9 (2) | 10% of certificates in public hands |  |  |  |
| 15.2.9 (3)  | Certificates not in public hands not taken into account  |  |  |  |
| 15.2.11 | Investment entity -equity securities listed |  |  |  |
| 15.2.13 | Certificates: No obligations on the depositary |  |  |  |
| 15.2.14 | Depositary arrangements are adequate  |  |  |  |

**Non-applicability Confirmation**

We inform you that items marked “N/A” in the Page column of the above checklist are considered not applicable and no equivalent information is available in relation to the enclosed document.

|  |  |
| --- | --- |
| *Signed by:* |  |
| *On behalf of[[1]](#footnote-1):* |  |

1. *The signatory should be properly authorised to do so on behalf of the issuer*  [↑](#footnote-ref-1)