# **Disapplication of pre-emption rights – UKLR 10**

|  |  |
| --- | --- |
| *Name of Company[[1]](#footnote-1):* |  |
| *Nature of Transaction:* |  |
| *Name of Sponsor[[2]](#footnote-2)/Adviser:* |  |
| *Date Submitted:* |  |

| **Rule** |  | **Page** | **Proof Number** | **Comment (where applicable)** |
| --- | --- | --- | --- | --- |
| 10.6.2 (1) | Maximum amount of equity securities |  |  |  |
| 10.6.2 (2) | Percentage which amount disapplied represents |  |  |  |

**Non-applicability Confirmation**

We inform you that items marked “N/A” in the Page column of the above checklist are considered not applicable and no equivalent information is available in relation to the enclosed document.

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| *Signed by:* | [*Final version submitted for Formal Approval will be signed*] |
| *On behalf of[[3]](#footnote-3):* |  |

1. *Name of Issuer* [↑](#footnote-ref-1)
2. *Where a Sponsor is appointed under UKLR 4.2.1R* [↑](#footnote-ref-2)
3. *The signatory should be properly authorised to do so on behalf of the issuer or, where UKLR 4.2.1R applies, the sponsor* [↑](#footnote-ref-3)