

# Register of Small Registered UK AIFMs

This register includes details of Alternative Investment Fund Managers that are registered as small registered UK AIFMs under regulation 10(1) of the Alternative Investment Fund Managers Regulations 2013 (SI 2013/1773). Small registered UK AIFMs are not authorised persons as a result of their registration as small registered UK AIFMs and are not included on the Financial Services Register in relation to this business. However, small registered UK AIFMs are not prevented from carrying on regulated activities as a result of their registration as small registered UK AIFMs and therefore may be included on the Financial Services Register in relation to other business.



FRN	Firm Name	Previous Registered Name	Small Internally Managed AIF	Small Registered Property AIFM	EuSEF Manager	EuVECA Manager	ESMA	EuSEF/EuV ECA Manager Market an AIF	Effective From	Effective To
826426	A.S.K. Genva HoldCo Limited		No	Yes	No	No			04/01/2019	
764102	Accelerated Digital Ventures Limited		Yes	No	No	No			10/11/2016	
534269	Advent Life Sciences LLP		No	No	No	Yes			01/07/2014	
659090	Aitchesse Limited		No	Yes	No	No			30/07/2014	
669712	Alternative Bridging (UK1) Limited		Yes	No	No	No			03/02/2015	15/01/2019
746423	Alternative Bridging (UK2) Limited		Yes	No	No	No			09/05/2016	15/01/2019
300608	Alternative Bridging Corporation (Cheval) Limited		Yes	No	No	No			03/02/2015	07/09/2018
185291	Amadeus Capital Partners Limited		No	No	No	Yes			19/11/2013	
659188	Amati VCT 2 PLC		Yes	No	No	No			17/03/2014	
659186	Amati VCT PLC		Yes	No	No	No			17/03/2014	
743114	Angels Unleashed Limited		No	No	No	Yes			05/04/2016	
726103	Annavas Growth Neighbourhoods London Limited		Yes	No	No	No			19/11/2015	31/08/2017
727492	Annavas Investments LLP		No	Yes	No	no			01/12/2015	31/08/2017
766766	Apsley House Management Limited		No	Yes	No	No			09/12/2016	
659190	Astrum Investment (AIFM) Ltd		No	Yes	No	No			10/07/2014	
659156	Athelney Trust Plc		Yes	No	No	No			07/08/2014	
402708	Azini Capital Partners LLP		No	No	No	Yes			04/05/2014	
401005	Balderton Capital (UK) LLP		No	No	No	Yes			19/10/2016	
659493	Baronsmead VCT 2 PLC		Yes	No	No	No			22/07/2014	
659494	Baronsmead VCT 3 PLC		Yes	No	No	No			22/07/2014	
659502	Baronsmead VCT 4 PLC		Yes	No	No	No			22/07/2014	12/04/2017
659506	Baronsmead VCT 5 PLC		Yes	No	No	No			22/07/2014	12/04/2017
659469	Baronsmead VCT PLC		Yes	No	No	No			22/07/2014	12/04/2017
659195	Barrington & Boogaard LLP		No	Yes	No	No			22/10/2014	
144639	BDO Northern Ireland		No	Yes	No	No			05/03/2015	
610618	Big Issue Invest Fund Management Limited		No	No	Yes	No			16/12/2015	
755896	Bloomsmith Limited		Yes	No	No	No			12/08/2016	
659189	Blue Planet International Financials Investment Trust PLC		Yes	No	No	No			24/03/2014	
781217	Breed Ventures Limited		Yes	No	No	No			02/08/2017	
775256	Brickowner Investments Limited		Yes	No	No	No			30/03/2017	
667978	British & American Investment Trust Plc		Yes	No	No	No			31/01/2014	
659172	British Smaller Companies VCT 2 PLC		Yes	No	No	No			20/07/2014	
659170	British Smaller Companies VCT PLC		Yes	No	No	No			20/07/2014	
775841	Broadpoint Short Term Credit Limited		Yes	No	No	No			19/05/2017	
773966	Brookstreet Equity Partners LLP		No	No	No	Yes			13/04/2017	
716382	Business Lending Fund Management Limited		No	Yes	No	No			10/09/2015	
798937	C&M Global Capital Limited		Yes	No	No	No			27/02/2018	
798960	C&M Global Wealth Limited		Yes	No	No	No			27/02/2018	
788725	Caisson Real Estate Management Limited		No	Yes	No	No			13/10/2017	
705601	Calibrate Management Ltd		No	No	No	Yes			23/03/2017	
659223	Cambridge Innovation Capital Plc		Yes	No	No	No			16/06/2014	
830047	Cameron Investors Trust plc		Yes	No	No	No			21/01/2019	
659169	Capital for Colleagues Plc		Yes	No	No	No			15/11/2013	
659561	Capital Gearing Trust PLC		Yes	No	No	No			18/07/2014	22/01/2018
758451	Capital Grey Property Limited		Yes	No	No	No			14/09/2016	20/06/2017
763988	Castlelaw Asset Management LLP		No	Yes	No	No			09/11/2016	
713578	Chartwell Residential Property Limited		No	Yes	No	No			12/08/2015	16/03/2017
809018	Chasunah Ltd		Yes	No	No	No			18/06/2018	
659108	Chelverton Growth Trust PLC		Yes	No	No	No			29/07/2014	
744191	Cherry Residential Plc		Yes	No	No	No			14/04/2016	
792064	Chiron Property Limited		Yes	No	No	No			13/11/2017	
659231	Chrysalis VCT Plc		Yes	No	No	No			09/07/2014	
659228	Consortium Maritime Trading Limited		Yes	No	No	No			18/06/2014	
736261	Crane Venture Partners LLP		No	No	No	Yes			11/02/2016	
678588	Cromdale Management Ltd		No	Yes	No	No			23/03/2015	
793388	Curo Property Funds LLP		No	Yes	No	No			15/11/2017	
770922	Curzon Street Investors Limited		Yes	No	No	No			03/02/2017	
766018	David Samuel Properties Limited		No	Yes	No	No			01/12/2016	
466533	Dawn Capital LLP		Yes	No	No	Yes			08/07/2016	
451191	DFJ Esprit		No	No	No	Yes			06/08/2014	
461735	DN Capital LLP		No	No	No	Yes			20/10/2015	
755833	Dorcaster PLC		Yes	No	No	No			08/09/2016	
659234	Downing FOUR VCT Plc		Yes	No	No	No			09/07/2014	
659460	Downing ONE VCT plc		Yes	No	No	No			09/07/2014	
659232	Downing Planned Exit VCT 2011 plc		Yes	No	No	No			09/07/2014	

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659265	Downing Planned Exit VCT 6 plc		Yes	No	No	No			09/07/2014	
659264	Downing Planned Exit VCT 7 plc		Yes	No	No	No			09/07/2014	
772957	Downing Strategic Micro-Cap Investment Trust PLC		Yes	No	No	No			16/03/2017	
659237	Downing THREE VCT plc		Yes	No	No	No			09/07/2014	
659263	Downing TWO VCT plc		Yes	No	No	No			09/07/2014	
134908	EBS Management Plc		No	Yes	No	No			03/07/2015	
630634	Empiric Student Property PLC	London Cornwall Student REIT plc	Yes	No	No	No			19/03/2014	26/11/2014
782165	EP+F Capital PLC		Yes	No	No	No			04/08/2017	
659162	EPG Development IV LLP		No	Yes	No	No			15/08/2014	
217457	EPIC Private Equity Ltd		No	Yes	No	No			23/04/2018	
659240	Eskmuir FM Ltd		No	Yes	No	No			10/02/2014	
453441	ETF Manager LLP		No	No	No	Yes			21/07/2014	
804668	Falco Capital Limited		No	Yes	No	No			16/05/2018	
669547	Felix Capital Partners LLP		No	No	No	Yes			02/02/2015	
760350	First Sentinel Limited		Yes	No	No	No			06/10/2016	
613706	Force Over Mass Capital LLP		No	No	No	Yes			28/01/2014	
659562	Foresight 2 VCT PLC		Yes	No	No	No			06/08/2014	18/12/2015
659579	Foresight 3 VCT PLC		Yes	No	No	No			06/08/2014	
659580	Foresight 4 VCT PLC		Yes	No	No	No			06/08/2014	
659106	Foresight Solar VCT PLC		Yes	No	No	No			06/08/2014	
651585	Foresight VCT PLC		Yes	No	No	No			06/08/2014	
798110	FP Investments III LLP		No	No	No	Yes			12/03/2018	
823381	FPC Income & Growth PLC		Yes	No	No	No			20/12/2018	
740130	Fprop Romanian Supermarkets Limited		Yes	No	No	No			14/03/2016	
627697	Frank Investments Limited		No	No	No	Yes			19/12/2018	
509967	Frog Capital Ltd		No	No	No	Yes			15/05/2014	
764613	Fruitful Marketplace Limited		No	Yes	No	No			16/11/2016	
648953	G10 Capital Limited		No	No	No	Yes			18/01/2019	
659464	Global Resources Investment Trust Plc		Yes	No	No	No			16/07/2014	
714389	Gloo Networks Plc		Yes	No	No	No			20/08/2015	20/11/2018
810952	Green Create W2V Limited		Yes	No	No	No			10/07/2018	
659151	Green Power Plant (GP) Limited		No	Yes	No	No			08/08/2014	
809322	Green Shores Capital Limited		No	No	No	Yes			19/07/2018	
659651	Greenridge Echo General Partner Limited		No	Yes	No	No			15/07/2014	
660074	Greenridge Investment Management Limited		No	Yes	No	No			15/07/2014	
659152	Ground Rents Income Fund plc		Yes	No	No	No			13/08/2014	
823386	Hambro Perks Ltd		Yes	No	No	No			20/12/2018	
518583	Hamilton Capital Partners LLP		No	Yes	No	No			12/03/2015	
659159	Hargreave Hale AIM VCT 1 PLC		Yes	No	No	No			21/03/2014	
659161	Hargreave Hale AIM VCT 2 PLC		Yes	No	No	No			21/03/2014	03/10/2018
660212	Hazel Capital Development (Wrangle) Limited		Yes	No	No	No			15/12/2014	
659559	Hazel Renewable Energy VCT1 PLC		Yes	No	No	No			30/07/2014	
659511	Hazel Renewable Energy VCT2 Plc		Yes	No	No	No			30/07/2014	
731411	Hazel Targa VCT plc		Yes	No	No	No			11/01/2016	03/08/2016
810373	High Growth Capital plc		Yes	No	No	No			17/08/2018	
659064	Hughes Armstrong Industries Limited		Yes	No	No	No			01/08/2014	01/03/2015
659175	Hygea vct plc		Yes	No	No	No			03/04/2014	
659597	Ibis Media VCT 1 PLC		Yes	No	No	No			07/08/2014	
777400	Impact Investment Trust PLC		Yes	No	No	No			18/05/2017	29/11/2018
659598	Industrial Real Estate (General Partner) Limited		No	Yes	No	No			21/07/2014	
779087	Infinity Fund Management Limited		No	Yes	No	No			16/06/2017	
716126	Infrawhite Limited		No	Yes	No	No			14/09/2015	
472896	IQ Capital Partners LLP		No	No	No	Yes			10/04/2017	
775620	Jacob Hopkins McKenzie Limited		No	Yes	No	No			03/04/2017	
677359	K & C REIT plc		Yes	No	No	No			04/11/2014	
796982	Kariba Group Limited		Yes	No	No	No			14/02/2018	
670612	Keen Venture Partners LLP		No	No	No	Yes			22/06/2015	
659056	Keills Limited		No	Yes	No	No			28/07/2014	
728295	Kensington Dexter Limited		No	Yes	No	No			08/12/2015	
659601	Landmark AM LTD		No	Yes	No	No			04/04/2014	
469971	Lennox Investment Management LLP		Yes	No	No	No			01/12/2016	
722764	Leopard Rock UK Property Company Limited		Yes	No	No	No			22/10/2015	17/03/2017
787579	Life Settlement Assesst Limited		Yes	No	No	No			25/09/2017	

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789947	Life Tenancy Investment Limited		No	Yes	No	No			13/11/2017	
795237	Literacy Capital plc		Yes	No	No	No			29/12/2017	
660350	Liverpool General Partner Limited		No	Yes	No	No			16/12/2014	
461693	London and St Lawrence Investment Company Plc		Yes	No	No	No			13/02/2015	22/01/2018
810373	London European Securities Limited		Yes	No	No	No			04/10/2018	
743098	Long Income REIT Limited		Yes	No	No	No			05/04/2016	
659163	Ludlowthompson London Residential REIT PLC		Yes	No	No	No			19/03/2014	31/03/2017
772960	Mactaggart Family & Partners Limited		No	Yes	No	No			02/03/2017	
803732	Magnus Secured Lending LLP		Ye	No	no	no			30/04/2018	
659472	Martin Currie Global Portfolio Trust Plc		Yes	No	No	No			16/07/2014	
770760	Marwyn Specialty Chemicals Plc		Yes	No	No	No			01/02/2017	
659045	Maven Income and Growth VCT 2 PLC		Yes	No	No	No			22/07/2014	
659060	Maven Income and Growth VCT 3 PLC		Yes	No	No	No			22/07/2014	
659603	Maven Income and Growth VCT 4 PLC		Yes	No	No	No			22/07/2014	
659153	Maven Income and Growth VCT 5 PLC		Yes	No	No	No			22/07/2014	
659155	Maven Income and Growth VCT 6 PLC		Yes	No	No	No			22/07/2014	
659042	Maven Income and Growth VCT 6 PLC		Yes	No	No	No			22/07/2014	
801589	Maximus Capital Limited		No	No	No	Yes			28/02/2018	
668001	Meaujo (771) Limited		Yes	No	No	No			29/11/2013	07/12/2015
792753	Mensch Management Ltd		Yes	No	No	No			15/11/2017	
673231	Meridian Films London One Limited		Yes	No	No	No			24/02/2015	30/08/2016
468885	Meridian Venture Partners LLP		No	No	No	Yes			01/09/2014	
519772	Midmar Capital LLP		No	No	No	Yes			11/01/2018	
659610	Mill Residential Reit Limited		Yes	No	No	No			11/12/2014	
659604	Mobius Income & Growth 2 VCT PLC		Yes	No	No	No			22/07/2014	
659549	Mobius Income & Growth 4 VCT PLC		Yes	No	No	No			22/07/2014	
660067	Mobius Income & Growth VCT PLC		Yes	No	No	No			22/07/2014	
801281	Mortar Hold Co. Limited		Yes	No	No	No			08/03/2018	
801276	Mortar Nova Grand Avenue Investor Co. Limited		Yes	No	No	No			08/03/2018	
660125	MRP Developments Limited		No	Yes	No	No			21/03/2014	
717900	Napier Capital General Partner No.10 Limited		No	Yes	No	No			23/09/2015	
194232	NBGI Private Equity Limited		No	No	No	Yes			21/07/2014	
723323	NCL Technology Ventures Limited	Narec Capital Limited	No	No	No	Yes			27/10/2015	
659461	Newstar Investment Trust PLC		Yes	No	No	No			06/06/2014	
660082	North Atlantic Smaller Companies Investment Trust PLC		Yes	No	No	No			26/08/2014	
806100	North Star Asset Management Limited		No	Yes	No	No			08/06/2018	
502462	Northedge Capital LLP		No	No	No	Yes			08/05/2015	
659206	Northern Venture Trust PLC		Yes	No	No	No			01/07/2014	
784032	Notion Capital Managers LLP		No	No	No	Yes			30/11/2018	
565008	Notion Capital Partners 2 LLP		No	No	No	Yes			13/05/2015	20/01/2017
752153	Novus Ordo Capital Ltd		No	No	No	Yes			08/07/2016	
660064	Octopus Aim Vct Plc		Yes	No	No	No			14/04/2014	
659453	Octopus Apollo Vct Plc		Yes	No	No	No			10/04/2014	
659451	Octopus Eclipse Vct Plc		Yes	No	No	No			14/04/2014	
659450	Octopus Second Aim Vct Plc		Yes	No	No	No			09/04/2014	
659443	Octopus Titan Vct 1 Plc		Yes	No	No	No			09/04/2014	08/06/2015
659445	Octopus Titan Vct 2 Plc		Yes	No	No	No			14/04/2014	
659447	Octopus Titan Vct 3 Plc		Yes	No	No	No			14/04/2014	08/06/2015
659236	Octopus Titan Vct 4 Plc		Yes	No	No	No			11/04/2014	08/06/2015
659449	Octopus Titan Vct 5 Plc		Yes	No	No	No			14/04/2014	08/06/2015
659262	Octopus VCT 2 Plc		Yes	No	No	No			09/04/2014	
659269	Octopus VCT 3 Plc		Yes	No	No	No			10/04/2014	18/01/2019
659260	Octopus VCT 4 Plc		Yes	No	No	No			10/04/2014	18/01/2019
659289	Octopus VCT Plc		Yes	No	No	No			14/04/2014	19/02/2015
798379	Odysean Investment Trust plc		Yes	No	No	No			09/02/2018	
800214	Osprey Income & Growth 7 GP Limited		No	Yes	No	No			18/05/2015	

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809867	OurCrowd Management (UK) Limited		No	No	No	Yes			16/08/2018	
727146	Overizone & Associates plc		Yes	No	No	No			23/11/2015	
730364	Overizone plc		Yes	No	No	No			29/12/2015	
749389	Oxford Spires (Oxford) Limited		No	Yes	No	No			08/06/2016	
659606	Pacific Assets Trust PLC		Yes	No	No	No			30/04/2014	
501765	Panoramic Growth Equity (Fund Management) LLP		No	No	No	Yes			24/06/2015	
786617	Peleus Property Limited		No	Yes	No	No			28/09/2017	
720869	Pfp Capital Limited		No	Yes	No	No			16/10/2015	16/10/2017
659473	Premier Energy and Water Trust PLC		Yes	No	No	No			23/06/2014	08/07/2015
659229	Private Equity Investor PLC		Yes	No	No	No			22/07/2014	
669734	Property Finance Nominees (No.3) Limited		Yes	No	No	No			03/02/2015	07/09/2018
514654	PSG SIPP Limited		No	Yes	No	No			07/05/2014	
706132	Quantum Secured Lending plc		Yes	No	No	No			10/06/2015	
754553	Ranger Direct Lending ZDP Plc		Yes	No	No	No			29/07/2016	
800344	RAS Capital NO1 Limited		Yes	No	No	No			06/07/2016	
659650	Redtree Capital Limited		No	Yes	No	No			13/11/2013	12/09/2016
752828	Reid Green & Co Limited		Yes	No	No	No			14/07/2016	21/04/2017
659084	Rensburg Aim VCT plc		Yes	No	No	No			18/08/2014	
736714	Resi Group Limited		Yes	No	No	No			10/10/2016	
739100	Ribston Limited		No	Yes	No	No			03/03/2016	
668024	Rights and Issues Investment Trust Plc		Yes	No	No	No			16/05/2014	
659468	Risus Property Management LLP		No	Yes	No	No			22/04/2014	
751401	Rose Lane (GP) Limited		No	Yes	No	No			30/06/2016	
745200	S E Capital Ltd		Yes	No	No	No			11/10/2016	
550103	Sapia Partners LLP		No	No	No	Yes			12/07/2017	
591707	SDCL EE Co (UK) LLP		No	No	No	Yes			03/03/2016	
794792	SDV 2025 ZDP PLC		Yes	No	No	No			12/01/2017	
792058	Second Estates UK Limited		Yes	No	No	No			13/11/2017	
806103	Secure Future (London) Ltd		Yes	No	No	No			08/06/2018	
659467	Seneca Global Income & Growth Trust plc	Midas Income & Growth Trust PLC	Yes	No	No	No			01/07/2014	20/04/2018
728224	Sextant Consolidated Limited		Yes	No	No	No			08/12/2015	
812595	Shared Property Investments LLP		Yes	No	No	No			30/08/2018	
740116	SLM Partners Limited		No	Yes	No	No			14/03/2016	
660113	Small Companies Dividend Trust PLC		Yes	No	No	No			13/08/2014	
660934	Small Companies ZDP PLC		Yes	No	No	No			13/08/2014	09/11/2016
768060	Smith Hamilton Property Limited		No	Yes	No	No			23/12/2016	
659938	Specialised Research (UK) Ltd		Yes	No	No	No			01/04/2015	
799439	Startup Giants Plc		Yes	No	No	No			27/03/2018	
674247	Strata Residential Finance Plc		Yes	No	No	No			27/02/2015	
660118	Student Cribs Limited		No	Yes	No	No			16/07/2014	
452811	Sturgeon Ventures LLP		No	No	No	Yes			22/12/2016	
779699	Suburban & Metropolitan Holdings Ltd		Yes	No	No	No			13/06/2017	
659222	SVM UK Emerging Fund Plc		Yes	No	No	No			01/07/2014	
603550	Technology Venture Partners LLP		No	No	No	Yes			07/04/2016	
659609	The Acquisitions Team Limited (resubmission)		No	Yes	No	No			22/10/2014	
659174	The Cayenne Trust Plc		Yes	No	No	No			01/07/2014	20/09/2016
787494	The Clerkenwell Property Partnership LLP		Yes	No	No	No			23/10/2017	
660131	The Establishment Investment Trust Plc		Yes	No	No	No			03/03/2014	
787497	The Greenford Property Partnership LLP		Yes	No	No	No			19/09/2017	
660133	The Income & Growth VCT PLC		Yes	No	No	No			22/07/2014	
654838	The Independent Investment Trust plc		Yes	No	No	No			07/04/2014	
795267	The Investment Company plc		Yes	No	No	No			29/03/2018	
659608	The Lindsell Train Investment Trust Plc		Yes	No	No	No			22/07/2014	
787491	The Petunia Property Partnership LLP		Yes	No	No	No			23/10/2017	
723121	The Regeneration Group Limited		Yes	No	No	No			26/10/2015	
521703	Tiger Infrastructure Partners (UK) LLP		No	No	No	Yes			29/09/2016	
811904	Trade Park Property Company plc		Yes	No	No	No			01/08/2018	
659457	Triple Point Income VCT Plc		Yes	No	No	No			01/04/2014	
659605	Triple Point VCT 2011 Plc		Yes	No	No	No			22/07/2014	
759836	Tunqate Capital plc		Yes	No	No	No			04/10/2016	
813315	UK Diversified Property PLC		Yes	No	No	No			10/08/2018	
659560	Unicorn AIM VCT PLC		Yes	No	No	No			22/07/2014	
660045	Vahnea Marolda Investments LLP		Yes	No	No	No			07/05/2014	

# Register of Small Registered UK AIFMs

This register includes details of Alternative Investment Fund Managers that are registered as small registered UK AIFMs under regulation 10(1) of the Alternative Investment Fund Managers Regulations 2013 (SI 2013/1773). Small registered UK AIFMs are not authorised persons as a result of their registration as small registered UK AIFMs and are not included on the Financial Services Register in relation to this business. However, small registered UK AIFMs are not prevented from carrying on regulated activities as a result of their registration as small registered UK AIFMs and therefore may be included on the Financial Services Register in relation to other business.



FRN	Firm Name	Previous Registered Name	Small Internally Managed AIF	Small Registered Property AIFM	EuSEF Manager	EuVECA Manager	ESMA	EuSEF/EuV ECA Manager Market an AIF	Effective From	Effective To
449474	Venrex Investment Manangement LLP		No	No	No	Yes			01/04/2016	
659062	Ventus 2 VCT PLC		Yes	No	No	No			03/04/2014	18/11/2015
658850	Ventus VCT PLC		Yes	No	No	No			03/04/2014	18/11/2015
659654	Viaqefi 1 Limited		Yes	No	No	No			20/11/2014	16/05/2018
659656	Viaqefi 3 Limited		Yes	No	No	No			20/11/2014	16/05/2018
659664	Viaqefi 4 Limited		Yes	No	No	No			20/11/2014	16/05/2018
659686	Viaqefi 5 Limited		Yes	No	No	No			20/11/2014	16/05/2018
659688	Viaqefi 6 Limited		Yes	No	No	No			20/11/2014	16/05/2018
806981	Vidarr Capital Limited		Yes	No	No	No			20/04/2018	
766936	Walls & Futures REIT plc		Yes	No	No	No			12/12/2016	
659087	West End Residential Property (2) Limited		Yes	No	No	No			22/07/2014	
659157	West End Residential Property Plc		Yes	No	No	No			10/04/2014	
171315	Westbridge Fund Managers Limited		No	No	No	Yes			26/01/2017	
756306	Widerpool Limited		No	No	No	Yes			17/08/2016	
668220	Witan Pacific Investment Trust PLC		Yes	No	No	No			01/04/2014	
790419	XCAP Limited		Yes	No	No	No			19/12/2018	
809020	Zisk Properties Limited		Yes	No	No	No			19/06/2018	