

REQUIREMENTS IMPOSED BY THE OFFICE OF FAIR TRADING (OFT) PURSUANT TO SECTION 33A AND SECTION 33D(4) OF THE CONSUMER CREDIT ACT 1974 ('the Act')

REQUIREMENTS RELATING TO:

Capital Cash Limited (consumer credit licence No: 541223), (Company Number 4703214).

THE OFT REQUIRES CAPITAL CASH LIMITED TO DO OR NOT TO (OR TO CEASE DOING) AS FOLLOWS:

- 1. Capital Cash (Capital Cash) shall not either by itself or through its agents allow its management or operation to be influenced in any way by Iain Shearer or James Dawes for any reason except for the purpose of facilitating either or both of the IVA's by which Iain Shearer and James Dawes are bound. Therefore Capital Cash shall:**
 - a) Not make any direct, indirect, written or oral communication, including through its agents, to Iain Shearer or James Dawes relating to the management or operation of Capital Cash other than for the purpose of facilitating one or other or both of the IVA's by which Iain Shearer and James Dawes are bound.
 - b) Report to the OFT any communications otherwise than in accordance with 1.a above between Capital Cash and Iain Shearer or between Capital Cash and James Dawes which take place and which come to the attention of the management or any other agents of Capital Cash on or before 31 March 2014, and provide the OFT with an accurate and detailed record of the communication.
 - c) Report to the FCA any communications otherwise than accordance with 1.a above between Capital Cash and Iain Shearer or between Capital Cash and James Dawes which take place on or after 1 April 2014 or which only come to the attention of the management of Capital Cash on or after 1 April 2014, and provide the FCA with an accurate and detailed record of the communication.

2. Capital Cash shall:

- a) Inform the OFT in the event that voting rights in respect of shares legally owned by Iain Shearer or James Dawes are exercised on or before 31 March 2014 and inform the FCA in the event that voting rights in respect of shares legally owned by Iain Shearer or James Dawes are exercised on or after 1 April 2014, supplying copies in each case of sufficient documents and explanation to evidence the subject matter of the vote and the manner in which the relevant voting rights have been exercised.
- b) Inform the OFT in the event that before 1 April 2014 there is a change, or a change is proposed, to the legal or beneficial ownership of the shares which Iain Shearer and James Dawes own.
- c) Inform the FCA in the event that on or after 1 April 2014 there is a change, or a change is proposed, to the legal or beneficial ownership of the shares which Iain Shearer and James Dawes own.

ANY FAILURE TO COMPLY WITH THE ABOVE REQUIREMENTS WILL RENDER CAPITAL CASH LIMITED LIABLE TO FURTHER FORMAL ACTION BY THE OFT. THIS COULD INCLUDE THE IMPOSITION OF FINANCIAL PENALTIES PURSUANT TO SECTION 39A OF THE ACT AND/OR THE REVOCATION OF CAPITAL CASH LIMITED CONSUMER CREDIT LICENCE PURSUANT TO SECTION 32 OF THE ACT.

Authorised signatory on behalf of the OFT



Mario Theodosiou, Consumer Credit Enforcement Director

Date: 24/3/2014.

