

[Name of Business Partner]<sup>1</sup>

FRN: [●]

**SECOND SUPPLEMENTAL APPLICATION TO REQUEST THAT REQUIREMENTS ARE  
IMPOSED ON FIRM**

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**To: The Financial Conduct Authority**

**FAO: Chris Walmsley**

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[Name of Business Partner] hereby applies, pursuant to Part 4A of the Financial Services and Markets Act 2000, for the requirements set out in the schedule below.

Signed:..... [Name, Role]

Date:.....

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**SCHEDULE**

**General**

1. This schedule sets out the terms of the requirements to be imposed on [Name of Business Partner], to take immediate effect.

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<sup>1</sup> Provisions to also be included for the Business Partner Undertaking Affiliates as appropriate (namely, for the Bank of Scotland, NatWest and Santander Cards UK Limited).

[Name of Business Partner] (FRN [●])

**Requirements included in the Firm's permission at the request of the Firm under section 55L of the Financial Services and Markets Act 2000**

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**Terms referred to:**

1. In this Second Supplemental Requirement, expressions defined shall have the meanings given to them in the Requirements unless the context otherwise requires.
2. Expressions defined in the Authority's Handbook of rules and guidance or in accordance with paragraph 1 above have the meanings given to them unless the context otherwise requires.
3. In this Second Supplemental Requirement:
  - 3.1 **"this Second Supplemental Requirement"** means the supplements to the Requirements set out in this document including Annex 1.
  - 3.2 **"the Requirements"** means the requirements and provisions imposed by the Authority on 27 January 2015 on the application of the Firm, as supplemented on 15 May 2015.

**Background**

4. The Requirements became effective on 27 January 2015 and were supplemented on 15 May 2015.
5. The Firm applies for the Requirements to be further supplemented so as to incorporate the supplements set out in this Second Supplemental Requirement.

**Second Supplemental Requirements**

6. The Requirements shall be supplemented as follows:
  - 6.1 The definition of 'Scheme of Arrangement' at paragraph 1.34 of the Requirements shall mean the solvent scheme of arrangement under Part 26 of the Companies Act 2006 described more particularly in Annex 1 hereto and all references in the Requirements to "Scheme Document" shall be references to the Scheme of Arrangement in Annex 1 hereto. Changes to the Scheme of Arrangement originally appended to the Requirements are highlighted through underlined or struck through text.
  - 6.2 Paragraph 5.1 of Part 3 of Annex B of the Requirements shall be amended so as to read as follows:

"If a Scheme Creditor disagrees with the amount of compensation payable to him, he may initiate the Dispute Resolution Procedure to refer the dispute to the Scheme Adjudicator, provided he does so within the time limits specified in Clauses 4.6 and 4.9 of the Scheme Document. If a Scheme Creditor disagrees with the rejection of his Claim Form by the Scheme Administrators, and provided that the Claim Form was received on or before 15 November 2016, he may initiate the Dispute Resolution Procedure to refer the dispute to the Scheme Adjudicator, provided he does so within the time limits specified in Clauses 4.8 to 4.10 of the Scheme Document."

## **Annex 1: Terms of the Scheme of Arrangement**

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[insert amended Scheme document]