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The Directory

Enter a company's or individual's name, role, firm reference number or location and click 'search'.

Search 

Advanced search 

By clicking the **SEARCH** button or using the Directory, I agree to the [Terms of Use](#).

Popular searches

[Pension provider](#)

[Mortgage adviser](#)

Summary Disclaimer

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Extract service

Legal information

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Privacy policy statement

Contact us

FCA Head Office

X

X

X

PRA Head Office

X

X

X

The Directory

Enter a company's or individual's name, role, firm reference number or location and click 'search'

Surname

Postcode/area

Search

Advanced search

Found 25 results for “Surname”

Save as PDF Print page

Filter by: Location

Samantha Jayne Surname IRN: 0004

Current

Individual notices

P

Prohibitions apply

AP

Approved by regulator

C/A

Other-Certified/ Assessed by the firm

CQ

Deals with clients and holds relevant qualifications

Firm: Samantha Surname Wealth Management Ltd FRN: 4000

Firm notices

R

Restricted activities

Loaction

Scunthorpe

Distance

1.1 miles

View Map

Hide contact details

Contact details

Website

www.websitesurname.com

Email

Contact@Surname.com

Telephone

00 000 0000

Fatima Surname IRN: 0002

Current

Individual notices

P

Prohibitions apply

AP

Approved by regulator

C/A

Other-Certified/ Assessed by the firm

CQ

Deals with clients and holds relevant qualifications

Firm 1: Fatima Surname Wealth Management Ltd FRN: 2001

Firm notices

+

Show contact details

Firm 2: FS Wealth Management Ltd FRN: 2001

Firm notices

R

Restricted activities

+

Show contact details

Pete Surname IRN: 0005

Current

Individual notices

AP

Approved by regulator

C/A

Other-Certified/ Assessed by the firm

Firm: Pete Surname Wealth Management Ltd FRN: 5000

+

Show contact details

Claire Anne Surname IRN: 0009

Past

CQ

Deals with clients and holds relevant qualifications

Firm: Claire Anne Wealth Management Ltd FRN: 9000

Firm notices

R

Restricted activities

F

Fines

+

Show contact details

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| Legal information | X | X |
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The Directory

Enter a company's or individual's name, role, firm reference number or location and click 'search'

Surname

Postcode/area

Search

Advanced search

Back to results

Save as PDFPrint page

Fatima Surname

IRN: 0004

Current

Individual notices

P

Prohibitions apply

AP

Approved by regulator

C/A

Other-Certified/ Assessed by the firm

CQ

Deals with clients and holds relevant qualifications

Associations with firm(s)

Fatima Surname
Wealth Management Ltd

FS Wealth Management Ltd

Contact details

Firm telephone

00 000 0000

Firm email

Contact@Surname.com

Firm website

www.fatimasurname.com

Location

Scunthorpe

Distance

1.1 miles

View Map

Current role(s)

Role:

Client dealing

Start date:

02 September 2017

Types of business undertaking:

Safeguarding and administering investments or holding client money
Functions requiring qualification

Past role(s)

Role:

CF30 - Customer function

Start date:

01 September 2015

End date:

01 September 2017

Types of business undertaking:

Safeguarding and administering investments or holding client money

Details last updated on:

02 September 2017

- P

Prohibitions apply

This is an individual who we have prohibited from performing all or certain functions in relation to all or certain regulated activities.

AP

Approved by regulator

Individual has been approved by the FCA or PRA and FCA to perform their role. This concerns Approved Persons and Senior Manager roles.

C/A

Other-Certified/ Assessed by the firm

The individual has been assessed or certified by the firm to perform the relevant role or function.

CQ

Deals with clients and holds relevant qualifications

The individual is performing a role in which they deal with customers or their property and requires a specific qualification to do so.

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