

<b>Template OF1 - Composition of regulatory own funds</b>			
	<b>Item</b>	<b>Amount (GBP thousands)</b>	<b>Source based on reference numbers/letters of the balance sheet in the audited financial statements</b>
<b>1</b>	<b>OWN FUNDS</b>		
<b>2</b>	<b>TIER 1 CAPITAL</b>		
<b>3</b>	<b>COMMON EQUITY TIER 1 CAPITAL</b>		
4	Fully paid up capital instruments		
5	Share premium		
6	Retained earnings		
7	Accumulated other comprehensive income		
8	Other reserves		
9	Adjustments to CET1 due to prudential filters		
10	Other funds		
11	(-)TOTAL DEDUCTIONS FROM COMMON EQUITY TIER 1		
19	CET1: Other capital elements, deductions and adjustments		
<b>20</b>	<b>ADDITIONAL TIER 1 CAPITAL</b>		
21	Fully paid up, directly issued capital instruments		
22	Share premium		
23	(-) TOTAL DEDUCTIONS FROM ADDITIONAL TIER 1		
24	Additional Tier 1: Other capital elements, deductions and adjustments		
<b>25</b>	<b>TIER 2 CAPITAL</b>		
26	Fully paid up, directly issued capital instruments		
27	Share premium		
28	(-) TOTAL DEDUCTIONS FROM TIER 2		
29	Tier 2: Other capital elements, deductions and adjustments		

**Template OF2: Own funds: reconciliation of regulatory own funds to balance sheet in the audited financial statements**

Flexible template - rows to be reported in line with the balance sheet included in the audited financial statements of the investment firm.

Columns should be kept fixed, unless the investment firm has the same accounting and regulatory scope of consolidation, in which case the volumes should be entered in column (a) only.

Figures should be given in GBP thousands unless noted otherwise.

		a	b	c
		<b>Balance sheet as in published/audited financial statements</b>	<b>Under regulatory scope of consolidation</b>	<b>Cross reference to template OF1</b>
		<b>As at period end</b>	<b>As at period end</b>	
<b>Assets - Breakdown by asset classes according to the balance sheet in the audited financial statements</b>				
1				
2				
3				
4				
5				
xxx	<b>Total Assets</b>			
<b>Liabilities - Breakdown by liability classes according to the balance sheet in the audited financial statements</b>				
1				
2				
3				
4				
xxx	<b>Total Liabilities</b>			
<b>Shareholders' Equity</b>				
1				
2				
3				
xxx	<b>Total Shareholders' equity</b>			

**Template OF3: Own funds: main features of own instruments issued by the firm**

*Free text. A non-exhaustive list of example features is included below.*

**Examples**

Public or private placement  
Instrument type  
Amount recognised in regulatory capital (GBP thousands, as of most recent reporting date)  
Nominal amount of instrument  
Issue price  
Redemption price  
Accounting classification  
Original date of issuance  
Perpetual or dated  
Maturity date  
Issuer call subject to prior supervisory approval  
Optional call date, contingent call dates and redemption amount  
Subsequent call dates, if applicable  
Coupons / dividends  
Fixed or floating dividend/coupon  
Coupon rate and any related index  
Existence of a dividend stopper  
Convertible or non-convertible  
Write-down features  
Link to the terms and conditions of the instrument