

## Safeguarding Attestation – Electronic Money Institutions

Please tick one box only

I confirm that my firm has carried out a review of its safeguarding procedures taking into account the guidance in the FCA's Approach Document and the letter of 4 July 2019. I confirm that I am satisfied that my firm complies with the safeguarding requirements set out in part 3 of The Electronic Money Regulations 2011.

**AND**

In any situations in which the firm provides payments services unrelated to the issuance of e-money. I confirm that I am satisfied that my firm complies with the safeguarding requirements set out in regulation 23 of The Payment Services Regulations 2017.

**Or**

My firm has ceased the issuance of e-money and is taking appropriate steps to cancel its authorisation.

**Firm** \_\_\_\_\_ **FRN\*** \_\_\_\_\_

**Name** \_\_\_\_\_

**Signed** \_\_\_\_\_ **Date** \_\_\_\_\_

### Annex

#### Our approach to using attestations

We are requiring this attestation to gain a personal commitment from you that specific action has been taken. Our aim is to ensure that there is clear accountability and senior management focus on those specific issues where we would like to see change within firms.