

## Notes to assist the completion of the AIFMD Schedule of AIFs form for full-scope AIFMs

The Schedule of AIFs form requires details on those AIFs that the AIFM intends to manage and/or market. This includes AIFs domiciled in the UK, EEA, and outside the EEA and those described in Articles 74 and 75 of the AIFM Regulations 2013.

During the course of the application process, if there are any changes to the AIFs detailed (including the addition of new AIFs) then the AIFM should make the amendments to the original Schedule of AIFs and resubmit. Please do not complete a new form.

Notes for the completion of the forms are detailed below. Please complete all sections of the form, using “n/a” where appropriate.

Please avoid the following while completing the forms:

- Overwriting cells with a drop down list.
- Submitting the forms after completion in a file format other than .xls or .xlsx.
- Providing information related to the share classes of an AIF in the AIF schedule.

Please email your completed form, along with the Variation of Permission application pack to: [AIFMDAuthorisations@fca.org.uk](mailto:AIFMDAuthorisations@fca.org.uk)

The subject line of the email should contain your FRN (or firm name for new applications and non-UK firms) followed by the type of AIFMD application.

Firms should follow use the following naming convention for the Schedule of AIFs file: the firm’s FRN (or firm name for a new authorisation) followed by “Schedule of AIFs”.

## UK AIF

Section	Data element	Description	Special note
AIF Identification	AIF Legal Name	The full legal name of the AIF as given in its incorporating documents or as modified after that. Please ensure the name matches any supporting documentation provided.	Mandatory
	AIF ID Code Type	Select from drop down list. Where an AIF does not have any ID codes you should select "Not applicable" from the list.	Mandatory
	AIF ID Code Type	Provide the ID code associated with the code type selected in the previous cell.	Where applicable
	Base Currency of AIF	For example GBP.	Mandatory
	Total AUM amount of the AIF in base currency	Provide the Assets Under Management as calculated in line with Article 2 of the AIFMD Level 2 Regulations.	Mandatory
	Base currency / EUR FX rate	Please provide the exchange rate between the fund's base currency and EUR, at the time of application, to 4 decimal places (2 decimal places for JPY).	Where applicable
	Base currency / EUR FX reference rate type	Select answer from drop-down list.	Where applicable
	Base currency / EUR FX reference rate description for no ECB rates	Origin, source and identification of exchange rate used.	Where applicable
	AIF Net Asset Value	Calculation of the net asset value per unit or share is subject to national law and, as the case may be, or the fund rules or instruments of incorporation.	Mandatory
	NAV valuation date (most recent one)	Provide the date on which the latest NAV of the fund was calculated.	Mandatory
	Legal status of the AIF	Provide the detail of the legal vehicle of the fund (e.g. Companies Act company, limited partnership, unauthorised unit trust, OEIC, authorised contractual scheme etc.).	Mandatory
	Inception date of the AIF, or proposed launch date of the AIF	Please state the inception date in the following format: dd/mm/yyyy. Where the fund was established prior to 1900, please state the date in the comments field in column BF.	Mandatory
	UK Authorisation Status	Select answer from drop down list provided. An authorised AIF is defined as an AIF that has been authorised by the FCA as an authorised unit trust, authorised contractual scheme or body incorporated under the OEIC regulations.	Mandatory
	Regulatory Status	Select answer from drop-down list.	Mandatory
	Capital Structure	Select answer from drop-down list.	Mandatory
AIF – is it a master/feeder/umbrella/sub-fund	Select answer from drop-down list.	Where applicable	

## Notes for completing the AIFMD Schedule of AIFs for full-scope firms

Section	Data element	Description	Special note
	If the AIF is a feeder AIF, the country in which the master AIF is established	Select answer from drop-down list.	Where applicable
	If the AIF is a feeder AIF, the legal name of the master AIF	The name of the master AIF of the feeder AIF. Field is required if the AIF is an EEA feeder AIF.	Where applicable
	If the AIF is a master AIF, list the internal or external identifier codes of all feeder AIFs	Please use the same ID code type as selected for the master AIF. If there is no internal or external identifier code then please answer as "n/a".	Where applicable
	If the AIF is a feeder AIF, list the internal or external identifier code of the master AIF	Please use the same ID code type as selected for the feeder AIF. If there is no internal or external identifier code then please answer as "n/a".	Where applicable
	If the AIF is an umbrella fund, list the internal or external identifier codes of all sub-funds	Please use the same ID code type as selected for the umbrella fund. If there is no internal or external identifier code then please answer as "n/a".	Where applicable
	If the AIF is a sub-fund, list the internal or external identifier code of the umbrella fund	Please use the same ID code type as selected for the sub fund. If there is no internal or external identifier code then please answer as "n/a".	Where applicable
Investment Strategies	Predominant AIF Type	Select answer from drop-down list.	Mandatory
	Hedge Fund - Investment Strategy of AIF	Select answer from drop-down list.	Where applicable
	Private Equity - Investment Strategy of AIF	Select answer from drop-down list.	Where applicable
	Real Estate - Investment Strategy of AIF	Select answer from drop-down list.	Where applicable
	Fund of Funds - identify the predominant AIF type of the underlying funds	Select answer from drop-down list.	Where applicable
	Other Fund - Investment Strategy of AIF	Select answer from drop-down list.	Where applicable
	If other strategy selected, please describe	Where the fund includes a strategy that is not listed, please provide details of the strategy.	Where applicable

## Notes for completing the AIFMD Schedule of AIFs for full-scope firms

Section	Data element	Description	Special note
	Risk profile of the AIF expressed as Target Annual Volatility (%)	Provide the stated (ex-ante) volatility that the named fund is operating under. If this measure cannot be provided (e.g. for Private Equity or Real Estate funds) the answer should be "n/a" and an explanatory note provided.	Mandatory
Leverage Strategy	AIF employs financial leverage	Select answer from drop-down list.	Mandatory
	AIF employs synthetic leverage	Select answer from drop-down list.	Mandatory
	Estimated typical level of leverage employed by the AIF (expressed as percentage and calculated in accordance with the gross method as set out in Article 7 of the Level 2 Regulations)	State leverage as a percentage (e.g. 15 x should be written as 1500%). If the AIF does not employ leverage then the answer should be 0%.	Mandatory
	Maximum expected level of leverage to be employed by the AIF (expressed as percentage and calculated in accordance with the gross method as set out in Article 7 of the Level 2 Regulations)	State leverage as a percentage (e.g. 15 x should be written as 1500%). If the AIF does not employ leverage then the answer should be 0%.	Mandatory
	Estimated typical level of leverage employed by the AIF (expressed as percentage and calculated in accordance with the commitment method as set out in Article 8 of the Level 2 Regulations)	State leverage as a percentage (e.g. 15 x should be written as 1500%). If the AIF does not employ leverage then the answer should be 0%.	Mandatory

## Notes for completing the AIFMD Schedule of AIFs for full-scope firms

Section	Data element	Description	Special note
	Maximum expected level of leverage to be employed by the AIF (expressed as percentage and calculated in accordance with the commitment method as set out in Article 8 of the Level 2 Regulations)	State leverage as a percentage (e.g. 15 x should be written as 1500%). If the AIF does not employ leverage then the answer should be 0%.	Mandatory
Depositary	Legal name	Identity of the entity performing depositary functions.	Mandatory
	Address	Address of the entity performing depositary functions.	Mandatory
	Firm Registration Number	Firm Reference Number (FRN) issued by the FCA, if applicable.	Where applicable
	Competent Authority (if non UK entity)	The name of the statutory authority of the jurisdiction in which the depositary is located (if it is a non-UK entity).	Where applicable
Prime Broker	Legal name	Please provide the details of the main 2 prime brokers by transaction volume.	Where applicable
	Address	Address of the entity performing prime brokerage functions.	Where applicable
	Firm Registration Number	Firm Reference Number (FRN) issued by the FCA, if applicable.	Where applicable
Prime Broker	Legal name	Please provide the details of the main 2 prime brokers by transaction volume.	Where applicable
	Address	Address of the entity performing prime brokerage functions.	Where applicable
	Firm Registration Number	Firm Reference Number (FRN) issued by the FCA, if applicable.	Where applicable
	All information that will be made available to investors in accordance with FUND 3.2.2R is attached for the AIF	For each AIF please complete the checklist in the "FUND 3.2.2R Checklist" tab of the spreadsheet indicating the name of the document and section and/or page numbers where the disclosure can be found for each AIF.	Mandatory
	A copy of the rules or instruments of incorporation is attached for the AIF	Select answer from drop-down list.	Mandatory
Prospectus Directive	Prospectus directive approval date (where applicable)	Please state the start date in the following format: dd/mm/yyyy.	Where applicable
	Competent Authority that approved or will approve the prospectus (where applicable)	The name of the statutory authority of the jurisdiction approved/will approve the prospectus.	Where applicable

## Notes for completing the AIFMD Schedule of AIFs for full-scope firms

Section	Data element	Description	Special note
	Date intending to submit for prospectus directive approval (where applicable )	Please state the start date in the following format: dd/mm/yyyy.	Where applicable
Approval to market in the UK	Seeking FCA approval to market AIF in UK?	Select answer from drop-down list.	Mandatory
	Proposed start date for the marketing in the UK	Please state the start date in the following format: dd/mm/yyyy.	Where applicable
	A description of, or any information on, the AIF available to investors	A description of the documents and their contents if not immediately obvious that will be made available to investors in any marketing activity. Where this is contained in the document which you have submitted in relation to FUND 3.2.2R please state this or, if not, provide details of other relevant documents. e.g. Prospectus/Instruments of Incorporation/Partnership agreement(s); Marketing Brochure/Private Placement Memorandum; Fact Sheet (Holdings, Price and past performance)	Where applicable
	Where the AIF is being marketed to retail clients and is not an authorised AIF, or does not have a prospectus approved in accordance with the Prospectus Directive, will it be promoted using an exemption to the financial promotions regime?	Select answer from drop-down list.	Where applicable
	Where relevant, information on arrangements established to prevent units or shares from being marketed to retail investors is attached for the AIF?	Description of controls in place to ensure that shares or units are not marketed to retail investors.	Where applicable
	Comments	Please provide any additional comments to support the schedule. Where the start date of the AIF precedes 1900, please enter the correct date in this cell.	Where applicable

## EEA AIFs

Section	Data element	Description	Data expected	Special note
AIF Identification	AIF Legal Name	The full legal name of the AIF as given in its incorporating documents or as modified after that. Please ensure the name matches any supporting documentation provided.	Mandatory	
	AIF ID Code Type	Select from drop down list. Where an AIF does not have any ID codes you should select "Not applicable" from the list.	Mandatory	
	AIF ID Code Type	Provide the ID code associated with the code type selected in the previous cell.	Where applicable	
	Base Currency of AIF	For example GBP.	Mandatory	
	Total AUM amount of the AIF in base currency	Provide the Assets Under Management as calculated in line with Article 2 of the AIFMD Level 2 Regulations.	Mandatory	
	Base currency / EUR FX rate	Please provide the exchange rate between the fund's base currency and EUR, at the time of application, to 4 decimal places (2 decimal places for JPY).	Where applicable	
	Base currency / EUR FX reference rate type	Select answer from drop-down list.	Where applicable	
	Base currency / EUR FX reference rate description for no ECB rates	Origin, source and identification of exchange rate used.	Where applicable	
	AIF Net Asset Value	Calculation of the net asset value per unit or share is subject to national law and, as the case may be, or the fund rules or instruments of incorporation.	Mandatory	
	NAV valuation date (most recent one)	Provide the date on which the latest NAV of the fund was calculated.	Mandatory	
	Legal status of the AIF	Provide the detail of the legal vehicle of the fund (e.g. Companies Act company, limited partnership, unauthorised unit trust, OEIC, authorised contractual scheme etc.).	Mandatory	
	Inception date of the AIF, or proposed launch date of the AIF	"Please state the inception date in the following format: dd/mm/yyyy.		

## Notes for completing the AIFMD Schedule of AIFs for full-scope firms

### EEA AIF

Section	Data element	Description	Special note
AIF Identification	AIF Legal Name	The full legal name of the AIF as given in its incorporating documents or as modified after that. Please ensure the name matches any supporting documentation provided.	Mandatory
	AIF ID Code Type	Select from drop down list. Where an AIF does not have any ID codes you should select 'Not applicable' from the list.	Mandatory
	AIF ID Code Type	Provide the ID code associated with the code type selected in the previous cell	Where applicable
	Base Currency of AIF	For example EUR	Mandatory
	Total AUM amount of the AIF in base currency	Provide the Assets Under Management as calculated in line with Article 2 of the AIFMD Level 2 Regulations.	Mandatory
	Base currency / EUR FX rate	Please provide the exchange rate between the fund's base currency and EUR, at the time of application, to 4 decimal places (2 decimal places for JPY).	Where applicable
	Base currency / EUR FX reference rate type	Select answer from drop-down list.	Where applicable
	Base currency / EUR FX reference rate description for no ECB rates	Origin, source and identification of exchange rate used.	Where applicable
	AIF Net Asset Value	Calculation of the net asset value per unit or share is subject to national law and, as the case may be, or the fund rules or instruments of incorporation.	Mandatory
	NAV valuation date (most recent one)	Provide the date on which the latest NAV of the fund was calculated.	Mandatory
	Legal status of the AIF	Provide the detail of the legal vehicle of the fund.	Mandatory
	Country in which the AIF is established	Select from drop-down list of EEA Member States.	Mandatory
	Inception date of the AIF, or proposed launch date of the AIF	Please state the inception date in the following format: dd/mm/yyyy. Where the fund was established before 1900, please state the date in the comments field in column BE.	Mandatory
	Capital Structure	Select answer from drop-down list.	Mandatory
	AIF – is it a master/feeder/umbrella/sub-fund	Select answer from drop-down list.	Where applicable
If the AIF is a feeder AIF, the country in which the master AIF is established	Select answer from drop-down list.	Where applicable	



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Section	Data element	Description	Special note
	If the AIF is a feeder AIF, the legal name of the master AIF	The name of the master AIF of the feeder AIF. Field is required if the AIF is an EEA feeder AIF.	Where applicable
	If the AIF is a master AIF, list the internal or external identifier codes of all feeder AIFs	Please use the same ID code type as selected for the master AIF. If there is no internal or external identifier code then please answer as 'n/a'.	Where applicable
	If the AIF is a feeder AIF, list the internal or external identifier code of the master AIF	Please use the same ID code type as selected for the feeder AIF. If there is no internal or external identifier code then please answer as 'n/a'.	Where applicable
	If the AIF is an umbrella fund, list the internal or external identifier codes of all sub-funds	Please use the same ID code type as selected for the umbrella fund. If there is no internal or external identifier code then please answer as 'n/a'.	Where applicable
	If the AIF is a sub-fund, list the internal or external identifier code of the umbrella fund	Please use the same ID code type as selected for the sub fund. If there is no internal or external identifier code then please answer as 'n/a'.	Where applicable
Investment Strategies	Predominant AIF Type	Select answer from drop-down list.	Mandatory
	Hedge Fund - Investment Strategy of AIF	Select answer from drop-down list.	Where applicable
	Private Equity - Investment Strategy of AIF	Select answer from drop-down list.	Where applicable
	Real Estate - Investment Strategy of AIF	Select answer from drop-down list.	Where applicable
	Fund of Funds - identify the predominant AIF type of the underlying funds	Select answer from drop-down list.	Where applicable
	Other Fund - Investment Strategy of AIF	Select answer from drop-down list.	Where applicable
	If other strategy selected, please describe	Where the fund includes a strategy that is not listed, please provide details of the strategy.	Where applicable
	Risk profile of the AIF expressed as Target Annual Volatility (%)	Provide the stated (ex-ante) volatility that the named fund is operating under. If this measure cannot be provided (e.g. for Private Equity or Real Estate funds) the answer should be 'n/a' and an explanatory note provided.	Mandatory

## Notes for completing the AIFMD Schedule of AIFs for full-scope firms

<b>Section</b>	<b>Data element</b>	<b>Description</b>	<b>Special note</b>
Leverage Strategy	AIF employs financial leverage	Select answer from drop-down list.	Mandatory
	AIF employs synthetic leverage	Select answer from drop-down list.	Mandatory
	Estimated typical level of leverage employed by the AIF (expressed as percentage and calculated in accordance with the gross method as set out in Article 7 of the Level 2 Regulations)	State leverage as a percentage (e.g. 15 x should be written as 1500%). If the AIF does not employ leverage then the answer should be 0%	Mandatory
	Maximum expected level of leverage to be employed by the AIF (expressed as percentage and calculated in accordance with the gross method as set out in Article 7 of the Level 2 Regulations)	State leverage as a percentage (e.g. 15 x should be written as 1500%). If the AIF does not employ leverage then the answer should be 0%	Mandatory
	Estimated typical level of leverage employed by the AIF (expressed as percentage and calculated in accordance with the commitment method as set out in Article 8 of the Level 2 Regulations)	State leverage as a percentage (e.g. 15 x should be written as 1500%). If the AIF does not employ leverage then the answer should be 0%	Mandatory
	Maximum expected level of leverage to be employed by the AIF (expressed as percentage and calculated in accordance with the commitment method as set out in Article 8 of the Level 2 Regulations)	State leverage as a percentage (e.g. 15 x should be written as 1500%). If the AIF does not employ leverage then the answer should be 0%	Mandatory

## Notes for completing the AIFMD Schedule of AIFs for full-scope firms

Section	Data element	Description	Special note
	Maximum expected level of leverage to be employed by the AIF (expressed as percentage and calculated in accordance with the commitment method as set out in Article 8 of the Level 2 Regulations)	State leverage as a percentage (e.g. 15 x should be written as 1500%). If the AIF does not employ leverage then the answer should be 0%	Mandatory
Depository	Legal name	Identity of the entity performing depository functions.	Mandatory
	Address	Address of the entity performing depository functions.	Mandatory
	Firm Registration Number	Firm Reference Number (FRN) issued by the FCA, if applicable.	Where applicable
	Competent Authority (if non UK entity)	The name of the statutory authority of the jurisdiction in which the depository is located (if it is a non-UK entity).	Where applicable
Prime Broker	Legal name	Please provide the details of the main 2 prime brokers by transaction volume.	Where applicable
	Address	Address of the entity performing prime brokerage functions.	Where applicable
	Firm Registration Number (where applicable)	Firm Reference Number (FRN) issued by the FCA, if applicable.	Where applicable
Prime Broker	Legal name	Please provide the details of the main 2 prime brokers by transaction volume.	Where applicable
	Address	Address of the entity performing prime brokerage functions.	Where applicable
	Firm Registration Number	Firm Reference Number (FRN) issued by the FCA, if applicable.	Where applicable
	All information that will be made available to investors in accordance with FUND 3.2.2R is attached for the AIF	For each AIF please complete the checklist in the 'FUND 3.2.2R Checklist' tab of the spreadsheet indicating the name of the document and section and/or page numbers where the disclosure can be found for each AIF.	Mandatory
	A copy of the rules or instruments of incorporation is attached for the AIF	Select answer from drop-down list.	Mandatory
Prospectus Directive	Prospectus directive approval date (where applicable)	Please state the start date in the following format: dd/mm/yyyy.	Where applicable

## Notes for completing the AIFMD Schedule of AIFs for full-scope firms

Section	Data element	Description	Special note
	Competent Authority that approved or will approve the prospectus (where applicable)	The name of the statutory authority of the jurisdiction approved/will approve the prospectus.	Where applicable
	Date intending to submit for prospectus directive approval (where applicable )	Please state the start date in the following format: dd/mm/yyyy.	Where applicable
Approval to market in the UK	Seeking FCA approval to market AIF in UK?	Select answer from drop-down list.	Mandatory
	Proposed start date for the marketing in the UK	Please state the start date in the following format: dd/mm/yyyy.	Where applicable
	A description of, or any information on, the AIF available to investors	A description of the documents and their contents if not immediately obvious that will be made available to investors in any marketing activity. Where this is contained in the document which you have submitted in relation to FUND 3.2.2R please state this or, if not, provide details of other relevant documents.  e.g. Prospectus/Instruments of Incorporation/Partnership agreement(s); Marketing Brochure/Private Placement Memorandum; Fact Sheet (Holdings, Price and past performance)	Where applicable
	Where the AIF is being marketed to retail clients and is not an authorised AIF, or does not have a prospectus approved in accordance with the Prospectus Directive, will it be promoted using an exemption to the financial promotions regime?	Select answer from drop-down list.	Where applicable

## Notes for completing the AIFMD Schedule of AIFs for full-scope firms

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<b>Section</b>	<b>Data element</b>	<b>Description</b>	<b>Special note</b>
	Where relevant, information on arrangements established to prevent units or shares from being marketed to retail investors is attached for the AIF?	Description of controls in place to ensure that shares or units are not marketed to retail investors.	Where applicable
	Comments	Please provide any additional comments to support the schedule. Where the start date of the AIF precedes 1900, please enter the correct date in this cell.	Where applicable

## Non EEA AIFs

Section	Data element	Description	Special note
AIF Identification	AIF Legal Name	The full legal name of the AIF as given in its incorporating documents or as modified after that. Please ensure the name matches any supporting documentation provided.	Mandatory
	AIF ID Code Type	Select from drop down list. Where an AIF does not have any ID codes you should select "Not applicable" from the list.	Mandatory
	AIF ID Code Type	Provide the ID code associated with the code type selected in the previous cell	Where applicable
	AIF Statutory Authority (where applicable)	The name of the statutory authority of the jurisdiction in which the AIF is established.	
	Base Currency of AIF	For example USD	Mandatory
	Total AUM amount of the AIF in base currency	Provide the Assets Under Management as calculated in line with Article 2 of the AIFMD Level 2 Regulations.	Mandatory
	Base currency / EUR FX rate	Please provide the exchange rate between the fund's base currency and EUR, at the time of application, to 4 decimal places (2 decimal places for JPY).	Where applicable
	Base currency / EUR FX reference rate type	Select from drop down list.	Where applicable
	Base currency / EUR FX reference rate description for no ECB rates	Origin, source and identification of exchange rate used.	Where applicable
	AIF Net Asset Value	Calculation of the net asset value per unit or share is subject to national law and, as the case may be, or the fund rules or instruments of incorporation.	Mandatory
	NAV valuation date (most recent one)	Provide the date on which the latest NAV of the fund was calculated.	Mandatory
	Legal status of the AIF	Provide the detail of the legal vehicle of the fund.	Mandatory
	Country in which the AIF is established	Select from drop-down list of non-EEA states.	Mandatory
	Inception date of the AIF, or proposed launch date of the AIF	Please state the inception date in the following format: dd/mm/yyyy. Where the fund was established prior to 1900, please state the date in the comments field in column B1.	Mandatory
	Capital Structure	Select answer from drop-down list.	Mandatory
AIF – is it a master/feeder/umbrella/sub-fund	Select answer from drop-down list.	Where applicable	
If the AIF is a feeder AIF, the country in which the master AIF is established	Select answer from drop-down list.	Where applicable	

## Notes for completing the AIFMD Schedule of AIFs for full-scope firms

Section	Data element	Description	Special note
	If the AIF is a feeder AIF, the legal name of the master AIF	The name of the master AIF of the feeder AIF. Field is required if the AIF is an EEA feeder AIF.	Where applicable
	If the AIF is a master AIF, list the internal or external identifier codes of all feeder AIFs	Please use the same ID code type as selected for the master AIF. If there is no internal or external identifier code then please answer as "n/a".	Where applicable
	If the AIF is a feeder AIF, list the internal or external identifier code of the master AIF	Please use the same ID code type as selected for the feeder AIF. If there is no internal or external identifier code then please answer as "n/a".	Where applicable
	If the AIF is an umbrella fund, list the internal or external identifier codes of all sub-funds	Please use the same ID code type as selected for the umbrella fund. If there is no internal or external identifier code then please answer as "n/a".	Where applicable
	If the AIF is a sub-fund, list the internal or external identifier code of the umbrella fund	Please use the same ID code type as selected for the sub-fund. If there is no internal or external identifier code then please answer as "n/a".	Where applicable
Investment Strategies	Predominant AIF Type	Select answer from drop-down list.	Mandatory
	Hedge Fund - Investment Strategy of AIF	Select answer from drop-down list.	Where applicable
	Private Equity - Investment Strategy of AIF	Select answer from drop-down list.	Where applicable
	Real Estate - Investment Strategy of AIF	Select answer from drop-down list.	Where applicable
	Fund of Funds - identify the predominant AIF type of the underlying funds	Select answer from drop-down list.	Where applicable
	Other Fund - Investment Strategy of AIF	Select answer from drop-down list.	Where applicable
	If other strategy selected, please describe	Where the fund includes a strategy that is not listed, please provide details of the strategy.	Where applicable

## Notes for completing the AIFMD Schedule of AIFs for full-scope firms

Section	Data element	Description	Special note
	Risk profile of the AIF expressed as Target Annual Volatility (%)	Provide the stated (ex-ante) volatility that the named fund is operating under. If this measure cannot be provided (e.g. for Private Equity or Real Estate funds) the answer should be "n/a" and an explanatory note provided.	Mandatory
Leverage Strategy	AIF employs financial leverage	Select answer from drop-down list.	Mandatory
	AIF employs synthetic leverage	Select answer from drop-down list.	Mandatory
	Estimated typical level of leverage employed by the AIF (expressed as percentage and calculated in accordance with the gross method as set out in Article 7 of the Level 2 Regulations)	State leverage as a percentage (e.g. 15 x should be written as 1500%). If the AIF does not employ leverage then the answer should be 0%	Mandatory
	Maximum expected level of leverage to be employed by the AIF (expressed as percentage and calculated in accordance with the gross method as set out in Article 7 of the Level 2 Regulations)	State leverage as a percentage (e.g. 15 x should be written as 1500%). If the AIF does not employ leverage then the answer should be 0%	Mandatory
	Estimated typical level of leverage employed by the AIF (expressed as percentage and calculated in accordance with the commitment method as set out in Article 8 of the Level 2 Regulations)	State leverage as a percentage (e.g. 15 x should be written as 1500%). If the AIF does not employ leverage then the answer should be 0%.	Mandatory



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Details of entity appointed to perform safe keeping functions	Legal name	Identity of the entity performing safe keeping functions.	Mandatory
	Address	Address of the entity performing safe keeping functions.	Mandatory
	Firm Registration Number	Firm Reference Number (FRN) issued by the FCA, if applicable.	Where applicable
	Competent Authority (if non UK entity)	The name of the statutory authority of the jurisdiction in which the entity is located (if it is a non-UK entity).	Where applicable
Details of entity appointed to perform cash monitoring functions	Legal name	Identity of the entity performing cash monitoring functions.	Mandatory
	Address	Address of the entity performing cash monitoring functions.	Mandatory
	Firm Registration Number	Firm Reference Number (FRN) issued by the FCA, if applicable.	Where applicable
	Competent Authority (if non UK entity)	The name of the statutory authority of the jurisdiction in which the entity is located (if it is a non-UK entity).	Where applicable
Details of entity appointed to perform oversight functions	Legal name	Identity of the entity performing oversight functions.	Mandatory
	Address	Address of the entity performing oversight functions.	Mandatory
	Firm Registration Number	Firm Reference Number (FRN) issued by the FCA, if applicable.	Where applicable
	Competent Authority (if non UK entity)	The name of the statutory authority of the jurisdiction in which the entity is located (if it is a non-UK entity).	Where applicable
Prime Broker	Legal name	Please provide the details of the main 2 prime brokers by transaction volume.	Where applicable
	Address	Address of the entity performing prime brokerage functions.	Where applicable
	Firm Registration Number (where applicable)	Firm Reference Number (FRN) issued by the FCA, if applicable.	Where applicable
Prime Broker	Legal name	Please provide the details of the main 2 prime brokers by transaction volume.	Where applicable
	Address	Address of the entity performing prime brokerage functions.	Where applicable
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Section	Data element	Description	Special note
	All information that will be made available to investors in accordance with FUND 3.2.2R is attached for the AIF	For each AIF please complete the checklist in the "FUND 3.2.2R Checklist" tab of the spreadsheet indicating the name of the document and section and/or page numbers where the disclosure can be found for each AIF.	Mandatory
	A copy of the rules or instruments of incorporation is attached for the AIF	Select answer from drop-down list.	Mandatory
Prospectus Directive	Prospectus directive approval date (where applicable)	Please state the start date in the following format: dd/mm/yyyy.	Where applicable
	Competent Authority that approved or will approve the prospectus (where applicable)	The name of the statutory authority of the jurisdiction approved/will approve the prospectus.	Where applicable
	Date intending to submit for prospectus directive approval (where applicable )	Please state the start date in the following format: dd/mm/yyyy.	Where applicable
	Comments	Please provide any additional comments to support the schedule. Where the start date of the AIF precedes 1900, please enter the correct date in this cell.	Where applicable

## Article 75 AIFs

Section	Data element	Description	Special note
AIF Identification	AIF Legal Name	The full legal name of the AIF as given in its incorporating documents or as modified thereafter.	Mandatory
	AIF ID Code Type	Select from drop down list. Where an AIF does not have any ID codes you should select "Not applicable" from the list.	Mandatory
	AIF ID Code	Provide the ID code associated with the code type selected in the previous cell.	Where applicable
	Country in which the AIF is established	Select country from drop-down list.	Mandatory
	Base currency of AIF	For example GBP.	Mandatory
	Approximate AIF Net Asset Value	Calculation of the net asset value per unit or share is subject to national law and, as the case may be, or the fund rules or instruments of incorporation. Value should be the latest available figure.	Mandatory

## Article 74 AIFs

Section	Data element	Description	Special note
AIF Identification	AIF Legal Name	The full legal name of the AIF as given in its incorporating documents or as modified thereafter.	Mandatory
	AIF ID Code Type	Select from drop down list. Where an AIF does not have any ID codes you should select "Not applicable" from the list.	Mandatory
	AIF ID Code	Provide the ID code associated with the code type selected in the previous cell.	Where applicable
	Country in which the AIF is established	Select country from drop-down list.	Mandatory
	Base currency of AIF	For example GBP.	Mandatory
	Approximate AIF Net Asset Value	Calculation of the net asset value per unit or share is subject to national law and, as the case may be, or the fund rules or instruments of incorporation. Value should be the latest available figure.	Where applicable