



Notice of intention to cancel arrangements to facilitate the access to an MTF or an OTF from another EEA State in accordance with the Markets in Financial Instruments Directive (MiFID)

FIRM NAME:	
FIRM REFERENCE NUMBER (FRN):	
DATE:	

Purpose of this form

You should complete this form if you are a UK firm or market operator that wishes to cancel existing arrangements to facilitate the access to an MTF or an OTF from another EEA State under the MiFID Directive.

Important information you should read before completing this form

A UK firm or market operator can only use this form if it is entitled to provide such arrangements subject to the conditions of MiFID (see Schedule 3 to, and section 312C of, the Financial Services and Markets Act 2000 (FSMA)). By completing this form, you are confirming this is the case. UK firms and market operators should consult the legislation or take professional advice both in the UK and in the relevant EEA State(s) if they are in any doubt.

We give guidance on this in the Supervision manual (SUP). In particular, a UK firm or market operator that wants to exercise an EEA right must have the corresponding permission included in its Scope of Permission.

Filling in the form

1. If you are using your computer to complete the form, use the TAB key to move from question to question and press SHIFT TAB to move back to the previous question. Once completed, print the relevant sections and sign the declaration in section 2.
2. If you are filling in the form by hand, use black ink, write clearly and, once you have completed the relevant sections, sign the declaration in section 2.
3. All firms should answer section 1.

1. Contact information	
Type of notification:	Cancel the provision of arrangements to facilitate access to MTF / OTF
Member State in which the investment firm/ market operator provided arrangements:	
Name of investment firm/ market operator:	
Address of investment firm/ market operator:	
Telephone number of investment firm/ market operator:	
E-mail of investment firm/ market operator:	
Name of the contact person at the investment firm / market operator:	
Name of the MTF/ OTF:	
Home Member State:	United Kingdom
Home Member State Competent Authority:	Financial Conduct Authority
Authorisation Status:	Authorised by the Financial Conduct Authority
Applicable Law:	
Authorisation Date:	
Date from which the termination will be effective:	