|  |  |
| --- | --- |
|  | Annex 1 – Tied Agent Notification Form |

 This form should be used to notify the FCA when a UK firm intends to use a tied agent established in the territory of another EEA state.

 **1 Name of Tied Agent**

|  |
| --- |
|      (as of 11 October) |

 **2 Address of Tied Agent**

|  |  |
| --- | --- |
| Address |       |
|  |
|  |
| Country |       |
| Postcode |       |

 **3 Trading name(s) of Tied Agent, if different to the name given in question 1 above**

|  |
| --- |
|       |

 **4 Telephone number of Tied Agent**

|  |
| --- |
|       |

 **5 E-mail address of Tied Agent**

|  |
| --- |
|       |

 **6 Reference or hyperlink to the public register where the Tied Agent is registered**

|  |
| --- |
|       |

**7 Website of Tied Agent**

|  |
| --- |
|       |

 **8 Legal Status of Tied Agent**

[ ]  Private Limited Company

[ ]  Partnership

[ ]  Limited Liability

[ ]  Sole Trader

[ ]  Public Limited Company

[ ]  Limited Partnership

[ ]  Unincorporated Association

[ ]  Other⏵ Please specify below

|  |
| --- |
|       |

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|       |      | / |       |       | / |       |       |       |       |

 **9 Date of commencement of agreement with your firm (dd/mm/yyyy)**