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| --- | --- |
|  | Annex 1 – Tied Agent Notification Form |

This form should be used to notify the FCA when a UK firm intends to use a tied agent established in the territory of another EEA state.

**1 Name of Tied Agent**

|  |
| --- |
| (as of 11 October) |

**2 Address of Tied Agent**

|  |  |
| --- | --- |
| Address |  |
|  |
|  |
| Country |  |
| Postcode |  |

**3 Trading name(s) of Tied Agent, if different to the name given in question 1 above**

|  |
| --- |
|  |

**4 Telephone number of Tied Agent**

|  |
| --- |
|  |

**5 E-mail address of Tied Agent**

|  |
| --- |
|  |

**6 Reference or hyperlink to the public register where the Tied Agent is registered**

|  |
| --- |
|  |

**7 Website of Tied Agent**

|  |
| --- |
|  |

**8 Legal Status of Tied Agent**

Private Limited Company

Partnership

Limited Liability

Sole Trader

Public Limited Company

Limited Partnership

Unincorporated Association

Other⏵ Please specify below

|  |
| --- |
|  |

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  |  | / |  |  | / |  |  |  |  |

**9 Date of commencement of agreement with your firm (dd/mm/yyyy)**