

**Annex III**

**Notification of information on changes to the membership of the management body**

Reference number:

Date:

**FROM:**

Name of the applicant:

Address:

**Contact details of the designated contact person[[1]](#footnote-1)**

Name:

Telephone:

Email:

**TO:**

Competent Authority:

Address:

**Contact details of the designated contact point if relevant**

Address:

Telephone:

Email:

Dear [insert appropriate name]

In accordance with Article 4 of the Commission Implementing Regulation (EU) 2017/1945 of 19 June 2017 laying down implementing technical standards with regard to standard forms, templates and procedures for notification or provision of information provided for in Article 7(5) of Directive 2014/65/EU to ensure uniform conditions of application of Article 9(5) of that Directive, kindly find attached the notification request.

**Person in charge of preparing the application**

Name:

Status/position:

Telephone:

Fax (if available):

E-mail:

**Information on member(s) leaving the management body**

**Member 1**

Name

Contact details (Telephone, email, address)

Position

Effective date of departure from management body

Reasons for the departure from management body

**Member 2**

Name

Contact details (Telephone, email, address)

Position

Effective date of departure from management body

Reasons for the departure from management body

**Member 3**

Name

Contact details (Telephone, email, address)

Position

Effective date of departure from management body

Reasons for the departure from management body

**Information on new member(s) of the management body**

**Member 1**

Name

Contact details (Telephone, email, address)

Position

Professional experience and other relevant experience

Educational qualification and relevant training

List of executive and non-executive directorships in other entities

Effective date

[Please set out that information here or provide an explanation of how it will be provided, or make reference to the relevant annexes containing the information]

**Member 2**

Name

Contact details (Telephone, email, address)

Position

Professional experience and other relevant experience

Educational qualification and relevant training

List of executive and non-executive directorships in other entities

Effective date

[Please set out that information here or provide an explanation of how it will be provided, or make reference to the relevant annexes containing the information]

**Complete updated list of members of the management body**

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| --- | --- | --- |
| Name | Position | Effective Date |
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Please provide:

* Minutes of the general meeting acting the nomination of the new member of the management body
* Minutes of the general meeting of the management body acting the nomination of the new members.

1. This should be the contact person at the applicant firm. [↑](#footnote-ref-1)