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Date: 12 May 2021

Our Ref: FOI8230

Dear

**Freedom of Information: Right to know request**

Thank you for your email of 14 April 2021, about FCA Enforcement investigations into insider dealing.

We are handling your email as a request for information under the Freedom of Information Act 2000 (FOIA) and will answer each question in turn. The information is correct as at 29 April 2021.

***1. How many investigations into insider trading/market abuse did the FCA open between 2020 and 2021 - please provide a monthly breakdown.***

Month	Cases
January 2020	0
February 2020	6
March 2020	2
April 2020	0
May 2020	2
June 2020	1
July 2020	6
August 2020	0
September 2020	4
October 2020	8
November 2020	1
December 2020	2
January 2021	1
February 2021	2
March 2021	4
April 2021	0

*\* Data is collated by primary issue only*

**2. How many of these investigations were open for longer than 6 months?**

From the cases mentioned in the table above, 32 cases were open for more than 6 months.

**3. How many insider trading/market abuse investigations are still open?**

We currently have 110 open cases in relation to market abuse.

**Your right to complain under FOIA**

If you are unhappy with this response, you have the right to request an internal review. To do so, please contact us within 40 working days of the date of this response at

[FreedomofInformationAppeals@fca.org.uk](mailto:FreedomofInformationAppeals@fca.org.uk).

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner by phone or on their website at:

Telephone: 0303 123 1113

Website: [www.ico.org.uk](http://www.ico.org.uk)

Yours sincerely,

**Information Disclosure Team**

