

Email: foi@fca.org.uk

12 Endeavour Square
London
E20 1JN

Tel: +44 (0)20 7066 1000
Fax: +44 (0)20 7066 1099
www.fca.org.uk

4 May 2021

Our Ref: FOI8201

Dear

Thank you for your email of 4 April 2021, in which you asked:

'Please may I have the figures of new insider dealing cases recorded by the FCA per month from January 2018 to May April 2021.'

We are handling your email as a request for information under the Freedom of Information Act 2000 (FOIA) and our response is in the table below. The information is correct as at 12 April 2021.

Please note, we have taken your request to ask for information on new insider dealing investigations cases.

Month	Cases
January 2018	1
February 2018	0
March 2018	0
April 2018	0
May 2018	4
June 2018	1
July 2018	5
August 2018	2
September 2018	3
October 2018	0
November 2018	1
December 2018	1
January 2019	0
February 2019	0
March 2019	3
April 2019	10
May 2019	2
June 2019	3

July 2019	2
August 2019	4
September 2019	4
October 2019	4
November 2019	0
December 2019	8
January 2020	5
February 2020	0
March 2020	2
April 2020	5
May 2020	1
June 2020	1
July 2020	1
August 2020	2
September 2020	4
October 2020	9
November 2020	3
December 2020	0
January 2021	1
February 2021	2
March 2021	4
April 2021	0

Your right to complain under FOIA

If you are unhappy with this response, you have the right to request an internal review. To do so, please contact us within 40 working days of the date of this response at FreedomofInformationAppeals@fca.org.uk.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner by phone or on their website at:

Telephone: 0303 123 1113

Website: www.ico.org.uk

Yours sincerely,

Information Disclosure Team

