Date: 09/04/2021

Our Ref: FOI8139

Dear

Freedom of Information: Right to know request

Thank you for your email of Wednesday 10 March, in which you asked for:

> *'Please could you provide the contact information (name, address, phone, email, website) you hold for all companies and/or individuals who held permissions to carry our defined benefits pension transfers between 26/01/2020 and 10/03/2021.*
> *Please also provide the contact information (name, address, phone, email, website) you hold for all companies and/or individuals who held permissions to carry our defined benefits pension transfers on 31/12/2018 but who have since relinquished their permissions or had their permissions revoked or removed or cancelled by the FCA.'*

We have processed your email in line with the provisions of the Freedom of Information Act 2000 (FOIA) and our response is below.

Please see attached in annex A the contact information (name, address, phone, email, website) held for all companies and/or individuals who held permissions to carry out defined benefits pension transfers between 26/01/2020 and 10/03/2021.

Please also find attached in annex B the contact information (name, address, phone, email, website) held for all companies and/or individuals who held permissions to carry out defined benefits pension transfers on 31/12/2018 but who have since relinquished their permissions or had their permissions revoked or removed or cancelled by the FCA.

Your right to complain under FOIA

If you are unhappy with this response, you have the right to request an internal review. To do so, please contact us within 40 working days of the date of this response at FreedomofInformationAppeals@fca.org.uk.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner by phone or on their website at:

Telephone: 0303 123 1113

Website: www.ico.org.uk

Yours sincerely

Information Disclosure Team
Financial Conduct Authority