

Date: 20 April 2021

Our Ref: FOI8028

Dear

**Freedom of Information: Right to know request**

Thank you for your request of 8 February 2021, for the following information:

*"Would it be possible to provide me with a full list of firms listed as members of the FSCS levy subgroup SD02 (investment intermediaries) and separately, a full list of the firms listed as members of the FSCS levy subgroup SD01 (investment fund management)?"*

On 19 March 2021 you confirmed that you were seeking the information for the financial years 2019/20 and 2020/21. This information would be for the classes CLII-1 and CLIP, which replaced the classes SD01 and SD02 after the financial year 2018/19.

We have processed your request in line with the provisions of the Freedom of Information Act 2000 (FOIA) and the relevant information is attached (correct as at 23 March 2021).

**Your right to complain under FOIA**

If you are unhappy with this response, you have the right to request an internal review. To do so, please contact us within 40 working days of the date of this response at [FreedomofInformationAppeals@fca.org.uk](mailto:FreedomofInformationAppeals@fca.org.uk).

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner by phone or on their website at:

Telephone: 0303 123 1113

Website: [www.ico.org.uk](http://www.ico.org.uk)

Yours sincerely

Information Disclosure Team  
Financial Conduct Authority