

Date: 16 April 2021

Our Ref: FOI8012

Dear

Freedom of Information: Right to know request

Thank you for your email of 2 February 2021, in which you asked about the gender divide in controlled functions. Please see Annex A for full details of your request.

We have processed your email in line with the provisions of the Freedom of Information Act 2000 (FOIA). Please accept our sincere apologies for the delay in responding.

Before we respond to your request we would like to explain that as at 30 September 2020 only a small number of firms, specifically Benchmarking firms were subject to Approved Persons Regime (APER), with around 800 individuals, most of which fell into the CF1, CF2, CF3 and CF4 functions. Most individuals who had previously held a Controlled Function had by this point been converted to the Senior Managers and Certification Regime (SMCR). Therefore, the numbers of individuals holding APER functions as at 31 December 2020 will be significantly lower than as at 31 December 2019.

Please see the below for the information you have requested:

	2020	2019	2018
How many CF30s were female	1,927	1,933	Not requested
How many CF30s were men	11,233	11,302	Not requested
How many CF1s were female	13	17	5,894
How many CF1s were male	58	158	30,578
How many CF2s were female	2	12	1037
How many CF2s were male	10	43	4,726
How many CF3s were female	3	3	421
How many CF3s were male	10	30	4,859

Your right to complain under FOIA

If you are unhappy with this response, you have the right to request an internal review. To do so, please contact us within 40 working days of the date of this response at FreedomofInformationAppeals@fca.org.uk.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner by phone or on their website at:

Telephone: 0303 123 1113

Website: www.ico.org.uk

Yours sincerely

Information Disclosure Team
Financial Conduct Authority

Annex A

Request received on 2 February 2021:

I am writing to you under the Freedom of Information Act 2000 to request the following information from the FCA:

How many women CF30s were there in the year ending 31 December 2020?
How many men CF30s were there in the year ending 31 December 2020?

How many women CF30s were there in the year ending 31 December 2019?
How many men CF30s were there in the year ending 31 December 2019?

How many women CF1s were there in the year ending 31 December 2020?
How many men CF1s were there in the year ending 31 December 2020?

How many women CF1s were there in the year ending 31 December 2019?
How many men CF1s were there in the year ending 31 December 2019?

How many women CF1s were there in the year ending 31 December 2018?
How many men CF1s were there in the year ending 31 December 2018?

How many women CF2s were there in the year ending 31 December 2020?
How many men CF2s were there in the year ending 31 December 2020?

How was women CF2s were there in the year ending 31 December 2019?
How many men CF2s were there in the year ending 31 December 2019?

How many women CF2s were there in the year ending 31 December 2018?
How was men CF2s were there in the year ending 31 December 2018?

How many women CF3s were there in the year ending 31 December 2020?
How was men CF3s were there in the year ending 31 December 2020?

How many women CF3s were there in the year ending 31 December 2019?
How was men CF3s were there in the year ending 31 December 2019?

How many women CF3s were there in the year ending 31 December 2018?
How many men CF3s were there in the year ending 31 December 2018?