

By email

Date: 1 February 2021

Our Ref: FOI7914

Dear

**Freedom of Information: Right to know request**

Thank you for your email of 4 January 2021, in which you asked:

- 1. How many entities notified the FCA prior to the end of the Brexit transitional period (which expired on 31 December 2020) that they wish to continue operating in the UK under the Temporary Permissions Regime (TPR)?*
- 2. Of these, how many are (i) MiFID investment firms; (ii) Alternative Investment Fund Managers?*
- 3. How many entities notified the FCA prior to the end of the Brexit transitional period (which expired on 31 December 2020) that they wish to continue marketing in the UK under the temporary marketing permissions regime (TMPR)?*

We have processed your email in line with the provisions of the Freedom of Information Act 2000 (FOIA) and our response is below.

**Question 1**

A total of 1479 firms notified the FCA prior to the end of the Brexit transitional period that they wished to continue operating in the UK and entered TPR on 1 January 2021.

**Question 2**

Of the firms mentioned above at question 1, 390 previously passported in under The Markets in Financial Instruments Directive (MIFID) and 54 previously passported in under The Alternative Investment Fund Managers Directive (AIFMD).

**Question 3**

A total of 629 fund operators submitted the notification prior to the end of the Brexit transitional period to enter funds into TMPR.

**Your right to complain under FOIA**

If you are unhappy with this response, you have the right to request an internal review. To do so, please contact us within 40 working days of the date of this response at [FreedomofInformationAppeals@fca.org.uk](mailto:FreedomofInformationAppeals@fca.org.uk).

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner by phone or on their website at:

Telephone: 0303 123 1113

Website: [www.ico.org.uk](http://www.ico.org.uk)

Yours sincerely

Information Disclosure Team  
Financial Conduct Authority