

Email: foi@fca.org.uk

via email

27 January 2021

Our Ref: FOI7907

Dear

Freedom of Information: Right to know request

Thank you for your email of 30 December 2020 about our active investigations.

We are handling your email as a request for information under the Freedom of Information Act 2000 (FOIA) and will answer each question in turn.

1. The number of active investigations into individuals?

We currently have 368 active investigations into individuals.

2. The number of active investigations into companies?

We currently have 219 active investigations into firms/companies.

3. Breakdown of statistics into whether the individuals are senior managers?

Of the 368 active investigations into individuals, 30 of those investigations are into Senior Managers as defined in the Senior Managers & Certification Regime.

Your right to complain under FOIA

If you are unhappy with the decision we have made about your request, you have the right to request an internal review. To do so, please contact us within three months of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner by phone or on their website at:

Telephone: 0303 123 1113

Website: www.ico.org.uk

Yours sincerely,

Information Disclosure Team

