

Date: 29 January 2021

Our Ref: FOI7904

Dear

Freedom of Information: Right to know request

Thank you for your email of 31 December 2020, about the Temporary Permission Regime (TPR).

We have processed your email as a request for information under the Freedom of Information Act 2000 (FOIA). For ease of reference we will respond to each point in turn.

1. The total number of notifications received from firms to use the temporary permissions regime.

As at 14 January 2021, the total number of firms in TPR was 1,476.

2. The total number of notifications received from firms to use the temporary permissions regime broken down by the firm's home state.

The information you have requested is contained in the table below.

Country	Number of Firms
AUSTRIA	21
BELGIUM	85
BULGARIA	8
CROATIA	2
CYPRUS	151
CZECH REPUBLIC	18
DENMARK	23
ESTONIA	2
FINLAND	8
FRANCE	186
GERMANY	168
GREECE	15
HUNGARY	3
ICELAND	5
IRELAND	230
ITALY	45
LATVIA	6
LIECHTENSTEIN	26
LITHUANIA	43

LUXEMBOURG	101
MALTA	59
NETHERLANDS	106
NORWAY	32
POLAND	15
PORTUGAL	9
ROMANIA	2
SLOVAKIA	4
SLOVENIA	1
SPAIN	51
SWEDEN	51
Grand Total	1,476

3. The total number of notifications received from firms to use the temporary permissions regime broken down by firm type.

The requested information is contained the table below.

Firm type	Number of firms
Advisers and Intermediaries	95
Alternative Asset Manager (e.g. hedge fund / private equity manager)	6
Alternatives	31
Asset Management	106
Asset Manager	18
Contracts for Differences (CFD) Providers	96
Corporate Finance Firms	4
Credit Unions	1
Custody Services	5
Custody Services and Administrators	5
Debt purchasers, debt collectors and debt administrators	1
E-Money Issuer	68
Life Insurance	24
Lloyd's and London Market Intermediaries (inc Managing General Agents)	90
Lloyd's Managing Agents and London Market (Re) Insurers (inc P & I Clubs)	51
Mainstream Consumer Credit Lenders	2
Mortgage Intermediaries	1
Motor Finance Providers	1
Multilateral Trading Facilities and Organised Trading Facilities	5
Multilateral Trading Facility	3
Non-Bank Lenders	1
Organised Trading Facility	1
Payment Services Firm	176
Personal and Commercial Lines Insurance Intermediaries	316
Personal and Commercial Lines Insurers (inc EEA Insurers)	121
Platforms	3
Principal Trading Firms	24
Retail Bank	46
Retail Finance Providers	4
Wealth Asset Management	2
Wealth Management	29
Wealth Managers and Stockbrokers	5
Wholesale (Other)	18
Wholesale Bank	80

Wholesale Brokers	37
Grand Total	1,476

Please note, the figures provided refer to the information held, as at 14 January 2021, and will be subject to change as more information is received about the TPR firms

4. The total number of notifications received from firms to use the temporary permissions regime broken down by passport being used.

The requested information can be viewed in the table below:

Directive	Number of firms
Alternative Investment Fund Managers Directive	54
Banking Consolidation Directive	108
Insurance Distribution	461
Markets in Financial Instruments Directive	390
Mortgage Credit Directive	2
Payment Services Directive	146
Second Electronic Money Directive	82
Solvency II Directive	182
UCITS IV Directive	46
UCITS Management Directive	5
Grand Total	1,476

Your right to complain under FOIA

If you are unhappy with this response, you have the right to request an internal review. To do so, please contact us within 40 working days of the date of this response at FreedomofInformationAppeals@fca.org.uk.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner by phone or on their website at:

- Telephone: 0303 123 1113
- Website: www.ico.org.uk

Yours sincerely

Information Disclosure Team
Financial Conduct Authority