

Date: 12 January 2021

Our Ref: FOI7876

Dear

**Freedom of Information: Right to know request**

Thank you for your email of 10 December 2020, in which you asked:

*I would like to understand the number of enforcement cases that the FCA currently has open. I see that the number was 646 as at 31 March 2020 and am looking for the latest comparable figure.*

We have processed your email in line with the provisions of the Freedom of Information Act 2000 (FOIA) and our response is below.

As at 5 January 2021 we have 576 open enforcement cases.

**Your right to complain under FOIA**

If you are unhappy with this response, you have the right to request an internal review. To do so, please contact us within 40 working days of the date of this response at [FreedomofInformationAppeals@fca.org.uk](mailto:FreedomofInformationAppeals@fca.org.uk).

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner by phone or on their website at:

Telephone: 0303 123 1113

Website: [www.ico.org.uk](http://www.ico.org.uk)

Yours sincerely

Information Disclosure Team  
Financial Conduct Authority