

Date: 26 November 2020

Our Ref: FOI7760

Freedom of Information: Right to know request

Thank you for your email of 29 October 2020, in which you asked for information on the number of prosecutions pursued by the FCA in relation to criminal money laundering offences between 1 January 2010 and 1 October 2020. The full details of your request can be found in Annex A.

We have processed your request in line with the provisions of the Freedom of Information Act 2000 (FOIA) and the requested information can be seen in the table below.

Year	Number of prosecutions for each money laundering offence set out above	Number of convictions for each money laundering offence set out above	Total number of individuals prosecuted for each money laundering offence set out above (with the remainder being companies)	Total number of individuals convicted for each money laundering offence set out above (with the remainder being companies)
2010	0	0	0	0
2011	2	2	2	2
2012	3	1	3	1
2013	0	0	0	0
2014	0	0	0	0
2015	1	1	1	1
2016	0	0	0	0
2017	1	1	1	1
2018	0	0	0	0
2019	1	1	1	1

2020	0	0	0	0
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Please note the figures in the table above refer to prosecutions or convictions under the Crime Act 2002, as set out at point 1 of your request.

We can confirm that we haven't prosecuted or convicted any firm or individual under the Money Laundering Regulations 2007, or the Money Laundering, Terrorist Financing and Transfer of Funds Regulations 2017.

Your right to complain under FOIA

If you are unhappy with this response, you have the right to request an internal review. To do so, please contact us within 40 working days of the date of this response at FreedomofInformationAppeals@fca.org.uk.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner by phone or on their website at:

Website: www.ico.org.uk

Yours sincerely

Information Disclosure Team

Annex A

I am requesting, under the terms of the Freedom of Information Act 2000, information on the number of prosecutions pursued and convictions obtained by the FCA in the period 1 January 2010 – 1 October 2020 in relation to all criminal money laundering offences under the following statutes and regulations:

- 1. Proceeds of Crime Act 2002 (the offences under sections 327 – 333 and section 342)*
- 2. Money Laundering Regulations 2007*
- 3. Money Laundering, Terrorist Financing and Transfer of Funds (Information on the Payer) Regulations 2017*

Please could you provide this information in the following format?

Year	Number of prosecutions for each money laundering offence set out above	Number of convictions for each money laundering offence set out above	Total number of individuals prosecuted for each money laundering offence set out above (with	Total number of individuals convicted for each money laundering offence set out above (with
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			<i>the remainder being companies)</i>	<i>the remainder being companies)</i>
<i>2010</i>				
<i>2011</i>				
<i>2012</i>				
<i>2013</i>				
<i>2014</i>				
<i>2015</i>				
<i>2016</i>				
<i>2017</i>				
<i>2018</i>				
<i>2019</i>				
<i>2020</i>				