

Date: 23 October 2020

Our Ref: FOI7682

Freedom of Information: Right to know request

Thank you for your email of 1 October 2020, in which you asked for information about agreements made by the FCA under sections 71 to 74 of the Serious Organised Crime and Police Act 2005 (SOCPA), more specifically:

1. *How many 'full immunity notice' agreements were made by the FCA under s.71 SOCPA for the time period 1 April 2020 to 30 September 2020?*
2. *How many 'restricted-use undertaking' agreements were made by the FCA under s.72 SOCPA for the time period 1 April 2020 to 30 September 2020?*
3. *How many 'co-operating witness' agreements were made by the FCA under s.73 SOCPA for the time period 1 April 2020 to 30 September 2020?*
4. *How many 'sentence review' agreements were made by the FCA under s.74 SOCPA for the time period 1 April 2020 to 30 September 2020?*

We have processed your email in line with the provisions of the Freedom of Information Act 2000 (FOIA).

We can disclose that there have been no SOCPA agreements made under sections 71 to 74 for the time periods you have specified.

Your right to complain under FOIA

If you are unhappy with this response, you have the right to request an internal review. To do so, please contact us within 40 working days of the date of this response at FreedomofInformationAppeals@fca.org.uk.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner by phone or on their website at:

Website: www.ico.org.uk

Yours sincerely

Information Disclosure Team
Financial Conduct Authority