

10 August 2020

Our Ref: FOI7448

### **Freedom of Information: Right to know request**

Thank you for your email of 11 July 2020 about notifications of inaccurate transaction reporting under the Markets in Financial Instruments Directive (MiFID II).

We are handling your email as a request for information under the Freedom of Information Act 2000 (FOIA). We have answered each of your questions in turn:

***1. How many notifications of inaccurate transaction reporting under MiFID II did you receive in 2018, 2019 and the first half of 2020 (Jan - June 30)?***

2018

We received 1,335 transaction reporting error and omission notifications.

2019

We received 1,269 transaction reporting error and omission notifications.

2020 (January – 30 June)

We received 751 transaction reporting error and omission notifications.

***2. How many different firms did you receive these MiFID II inaccurate transaction reports from in 2018, 2019 and the first half of 2020 (Jan - June 30)?***

2018

At least one transaction reporting error and omission notification was submitted by 383 firms.

#### 2019

At least one transaction reporting error and omission notification was submitted by 425 firms.

#### 2020 (January – 30 June)

At least one transaction reporting error and omission notification was submitted by 273 firms.

### **Your right to complain under FOIA**

If you are unhappy with this response, you have the right to request an internal review. To do so, please contact us within 40 working days of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner by phone or on their website at:

Telephone: 0303 123 1113

Website: [www.ico.org.uk](http://www.ico.org.uk)