

Date: 30 July 2020

Our Ref: FOI7423

Freedom of Information: Right to know request

Thank you for your email of 3 July 2020, in which you asked for the following information:

I am happy to limit my request to the first query concerning data breaches:

Please provide the following information for the companies that you regulate and/or authorise over the last 2 years:

- 1. Number of reportable data breaches made to FCA individually or in conjunction with the ICO under DPA 2018 - calculated monthly and categorised by sector.*

We are handling your email as a request for information under the Freedom of Information Act 2000 (FOIA) and our response is below.

Before considering your request, it may be helpful to know that for this request, we define 'data breach' as 'a confirmed incident in which the confidentiality of company or personal data is compromised or breached'. This does not mean that in every case personal/company data was exfiltrated/stolen.

Additionally, we are only sighted on reportable data breaches that are notified to us, the Data Protection Act 2018 does not require firms to report data breaches to us and as such we are not obligated to report data breaches about firms to the Information Commissioners Office; this is the responsibility of the firms.

Point 1

There were 226 notifications made to the FCA during the period 1 June 2018 to 31 May 2020 that contained notifications of a data breach/compromise. Our full response is set out in Annex A attached.

Your right to complain under FOIA

If you are unhappy with this response, you have the right to request an internal review. To do so, please contact us within 40 working days of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner by phone or on their website at:

Telephone: 0303 123 1113

Website: www.ico.org.uk