

26 June 2020

Our Ref: FOI7331

### **Freedom of Information: Right to know request**

Thank you for your email of 29 May April 2020, in which you asked for:

*'Please may I have the figures of new insider dealing cases recorded by the FCA per month from January 2018 to May 2020. If insider dealing cases are not logged, please could I have the monthly figures of new market abuse cases over the same period...'*

We are handling your email as a request for information under the Freedom of Information Act 2000 (FOIA) and our response for market abuse cases is set out in the tables below.

Please note we have defined case to mean an Enforcement investigations which are looking at criminal insider dealing offences, or regulatory market abuse offences under Market Abuse Regulation (MAR).

Month	No.
Jan-18	2
Feb-18	11
Mar-18	4
Apr-18	2
May-18	8
Jun-18	8
Jul-18	6
Aug-18	6
Sep-18	3
Oct-18	1
Nov-18	10
Dec-18	6

Month	No.
Jan-19	0
Feb-19	1
Mar-19	4
Apr-19	14
May-19	5
Jun-19	4
Jul-19	2
Aug-19	3
Sep-19	7
Oct-19	6
Nov-19	0
Dec-19	5

Month	No.
Jan-20	9
Feb-20	0
Mar-20	2
Apr-20	6
May-20	0

### **Your right to complain under FOIA**

If you are unhappy with this response, you have the right to request an internal review. To do so, please contact us within 40 working days of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner by phone or on their website at:

Telephone: 0303 123 1113

Website: [www.ico.org.uk](http://www.ico.org.uk)

Yours sincerely

Information Disclosure Team