

18 March 2020

Our Ref: FOI7123

Thank you for your Freedom of Information request of 19 February 2020 regarding investigations into spoofing. We have answered each question in turn.

***1. The number of active investigations into spoofing?***

The FCA has five active investigations into spoofing.

***2. The number of spoofing investigations into companies?***

One firm is currently being investigated.

***3. The number of spoofing investigations into individuals?***

Four individuals are currently being investigated.

***4. The number of spoofing investigations opened in 2019?***

The FCA did not open any spoofing investigations in 2019.

***5. The number of spoofing investigations opened in 2018?***

The FCA opened one spoofing investigation in 2018.

If you are unhappy with the decision made in relation to your request, you have the right to request an internal review. If you wish to exercise this right you should contact us within 40 working days of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner, who can be contacted at:

Information Commissioner's Office,  
Wycliffe House,  
Water Lane,  
Wilmslow,  
Cheshire  
SK9 5AF.

Telephone: 01625 545 700

Website: [www.ico.org.uk](http://www.ico.org.uk)

Thank you for your interest in the FCA

Yours sincerely,

**Information Disclosure Team**