

3 March 2020

Our Ref: FOI7060

Freedom of Information: Right to know request

Thank you for your Freedom of Information request dated 4 February 2020. The information you have requested is provided below.

You asked for:

"Please provide the following statistic relating to withdrawn applications for the CF30 controlled function.

For the years 2017, 2018 and 2019:

Of the number applications to perform the CF30 controlled function, and where the applicant individual answered "yes" to any question within Section 5 ("Fitness and Propriety") of the form ("Long Form A"):

What percentage of such applications were subsequently withdrawn by the applicant firm, before a final decision was made by the FCA?"

We have considered your request in line with the provisions of the Freedom of Information Act 2000.

The table below provides the percentage by year:

| Year | Percentage |
|-------------|-------------------|
| 2017 | 9% |
| 2018 | 12% |
| 2019 | 9% |

If you are unhappy with this response, you have the right to request an internal review. If you wish to exercise this right you should contact us within 40 working days of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner, who can be contacted at:

Information Commissioner's Office
Wycliffe House
Water Lane
Wilmslow
Cheshire
SK9 5AF

Telephone: 01625 545 700
Website: www.ico.org.uk

Yours sincerely,

Information Disclosure Team