

Date: 21 January 2020

Our Ref: FOI6999

Freedom of Information: Right to know request

Thank you for your request under the Freedom of Information Act 2000 ("the Act") dated 03 January 2020 for data breaches reported to us by regulated firms. Your full request can be seen below;

"Under the Freedom of Information Act and with reference to a previous FOI to the FCA (FOI6185) [details of which can be seen in Annex A], I would like to know the following:

- *How many notifications of 'data breaches' (described in your previous FOI response ref. FOI6185 as 'a confirmed incident in which the confidentiality of company or personal data is breached') were reported by financial services companies to the FCA*

I would like the data broken down by the type of financial sector – please use whatever categories the FCA uses.

I would also like the figures broken down by month for the 2019 calendar year."

Your request has now been considered and our response is outlined the tables below.

In line with the last request, FOI6815, it may be helpful to know that for the purposes of this request, we define 'data breach' as a confirmed incident in which the confidentiality of company or personal data is breached or compromised.

A breakdown of reported data breaches by year and month is set out below;

	2019
Jan	12
Feb	9
March	12
April	11
May	5
June	7
July	9
Aug	9
Sep	9
Oct	5
Nov	2
Dec	3
Total	93

A breakdown of reported data breaches by year, month and financial sector (as defined within the FCA's 2019 sector views published on our [website](#)) is set out below;

Sector	2019
General Insurance & Protection	22
Pensions Savings & Retirement Income	4
Retail Banking & Payments	12
Retail Investments	10
Retail Lending	16
Wholesale Financial Markets	16
Investment Management	13
Total	93

If you are unhappy with the decision made in relation to your request, you have the right to request an internal review. If you wish to exercise this right you should contact us within 40 working days of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner at: Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire, SK9 5AF. Telephone: 01625 545 700. Website: www.ico.org.uk

Yours sincerely

Information Disclosure Team

Annex A

Previous FOI Request – FOI6185;

Under the Freedom of Information Act, I would like to know:

- *How many notifications of data breaches (or whichever term the FCA uses) were reported by financial services companies to the FCA*

I would like the data broken down by the type of financial services companies – please use whatever categories the FCA uses.

I would also like the figures broken down by calendar year, for the five most recent years – 2018, 17, 16, 15, 14. If these data for the last five years is not possible, please provide the data for the last three.