

2 December 2019

Our Ref: FOI6878

Freedom of Information: Right to know request

Thank you for your request dated 4 November 2019 under the Freedom of Information Act 2000 (the Act), for information relating to the gender split for each controlled function by industry. For full details of your request, please see Annex A.

Your request has now been considered and we can confirm we hold the information you have requested.

The figures provided below show the number by gender performing regulatory functions (APER / SMR / SIMF) under each of the seven sectors. Where an individual may hold more than one regulatory function in a sector they have been counted once per sector. However, where a firm operates in more than one sector, individuals in this scenario will be counted once in each sector, e.g. Individual A holds three functions for Firm B, Firm B operates in only one sector therefore the individual will be counted once in that sector. Individual C holds three functions for Firm D which operates in four sectors therefore the individual will be counted once in each sector.

The following data outlines the total number of regulatory functions held by gender across each sector as per the search requirements of Mr, Mrs, Miss, Ms for the year end 31 December 2018 and as at 4 November 2019.

31 December 2018

Sector	Male	Female
Retail Banking	607	138
Retail Lending	22,936	5,137
General Insurance & Protection	12,876	2,870
Pensions & Retirement Income	773	199
Retail Investments	32,114	6,921
Investment Management	21,131	4,153
Wholesale Financial Markets	13,768	2,135

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Sector	Male	Female
Retail Banking	735	168
Retail Lending	29,852	6,725
General Insurance & Protection	14,679	3,377
Pensions & Retirement Income	1,065	280
Retail Investments	35,619	8,076
Investment Management	23,994	4,820
Wholesale Financial Markets	14,997	2,306

When reviewing the figures please note that some firms have been recategorized and moved to different sectors during the years. As a result, some individuals approved at the same firm appearing in one sector in one year will appear in a different sector another. This accounts for some of the changes between this and previous years' figures provided to you in your Freedom of Information request numbered FOI5596.

If you are unhappy with the decision made in relation to you request, you have the right to request an internal review. If you wish to exercise this right you should contact the Information Disclosure Team within 40 working days of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner at Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF. Telephone 01625 545 700. Website: www.ico.org.uk.

Annex A

Can you kindly provide me the 2018 data update for FOI5596. If possible I would like the data for 2019 as well.

The original request was as follows:

"Please can you tell me the gender split for each controlled function by industry - e.g. Male to female split in asset management, banking etc for as many discrete years as you can.

Please can we have both FCA Controlled functions and AMR / SIMF functions.

Please can you provide the information for 2007, 2008, 2009, 2010, 2011, 2012, 2013, 2014, 2015, 2016, 2017"

The previous response from 03 April 2018 already covered 2016 and 2017 data.