

Email: foifca.org.uk

31 December 2019

Our Ref: FOI6866

### **Freedom of Information: Right to know request**

We refer to your request dated 20 October 2019, received under the Freedom of Information Act 2000 ("the Act"), for the following information:

*'[...]we would like to know if you could help us to shed some light on the number of the FCA-regulated intermediary firms (if possible for the 5 past years?) in general, and more precisely on their repartition by categories of intermediaries (brokers/agents/insurance representative, etc).'*

Please accept our sincere apologies for the delay in responding to you. Your request has now been considered and we can confirm that we hold information that falls within the scope of your request.

Please note that the information provided is based on firms submitting the Retail Mediation Activities Return (RMAR) rather than on permissions and only reflects the intermediary firms that submit that return.

<b>Firm category</b>	<b>2014</b>	<b>2015</b>	<b>2016</b>	<b>2017</b>	<b>2018</b>
Financial adviser firms	4,436	4,568	4,865	4,937	5,016
Mortgage brokers	1,245	1,250	1,347	1,418	1,520
Insurance intermediaries	5,417	5,185	5,083	4,999	4,994
Other firms	363	383	191	295	330
Total	11,461	11,386	11,486	11,649	11,860

Firm category - we give each firm a 'primary category' reflecting our understanding of the firm's main type of regulated business activity, although many firms carry out more than one type of business.

Firm count – sourced from the Retail Mediation Activities Return (RMAR) as submitted to us by relevant intermediary firms. Reflects a count of reporting firms that were on the FCA Register at the relevant calendar year-end and that reported a Profit and Loss account for a full year. Further information on the RMAR source data can be found here:

<https://www.fca.org.uk/data/retail-intermediary-market-2018/technical-information-rmar-source-data>

If you are unhappy with this response, you have the right to request an internal review. If you wish to exercise this right you should contact us within 40 working days of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner at Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF. Telephone: 01625 545 700. Website: [www.ico.org.uk](http://www.ico.org.uk)