

20 November 2019

Our Ref: FOI6851

Freedom of Information: Right to know request

Thank you for your request under the Freedom of Information Act 2000 (the Act) dated 23 October 2019, for the following information;

"Under the Freedom of Information Act, and following a previous FOI request, reference FOI5142, I would like to have the below functions split by gender where the applicants have stated Mr, Ms, Mrs and Miss in their application.

- 1. How many Control Function 1 Holders are women, how many are men?*
- 2. How many Control Function 2 Holders are women, how many are men?*
- 3. How many Control Function 3 Holders are women, how many are men?*
- 4. How many Control Function 4 Holders are women, how many are men?*

Please provide data broken down for each of the last five years with a year-end date of September 30, or whichever year-end date is most convenient. If you cannot provide data for each of the last five years, then please provide data for each of the last three years. If that is not possible, then please provide data for each of the most recent two years."

We have now considered your request and our response is set out in the table below. It outlines the total number of men and women holding CF1, CF2, CF3 and CF4 positions broken down by year end for the last five years.

	2015		2016		2017		2018		2019	
Function	Women	Men	Women	Men	Women	Men	Women	Men	Women	Men
CF1 Director	6,484	29,308	5,189	29,844	5,589	31,433	5,788	31,748	5,874	30,792
CF2 Non Executive Director	1,702	6,860	786	4,520	876	4,792	976	4,853	948	4,692
CF3 Chief Executive	474	5,482	308	4,995	346	5,080	354	5,024	350	4,876
CF4 Partner	1,311	8,639	1,410	8,796	1,381	8,620	1,369	8,297	1,356	8,051
Grand Total	9,971	50,289	7,693	48,155	8,192	49,925	8,487	49,922	8,528	48,411

- Totals are at 30 September 2019
- There is a drop in the numbers between 2015 and 2016. This is because a number of CF1, CF2 and CF3 function holders at dual regulated firms were converted to SMF and SIMF functions under the SMCR (Senior Managers and Certification Regime) and SIMR (Senior Insurance Management Regime).

If you are unhappy with the decision made in relation to your request, you have the right to request an internal review. If you wish to exercise this right you should contact the Information Disclosure Team within 40 working days of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner at Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF. Telephone: 01625 545 700. Website: www.ico.org.uk

