

Email: foia@fca.org.uk

20 June 2019

Our Ref: FOI6486

Freedom of Information : Right to know request

Thank you for your request under the Freedom of Information Act 2000 (the Act), received on 22 May 2019, for the following information:

"could you kindly confirm, in respect of each answer [to ref FOI6383], how many investigations come under the 2007 regulations (The Money Laundering Regulations 2007) and how many investigations come under the 2017 regulations (The Money Laundering, Terrorist Financing and Transfer of Funds (Information on the Payer) Regulations 2017).

In addition, please confirm how many of each number relate to firms and how many relate to individuals."

Your request has now been considered and the relevant information is below.

The below table sets out how many investigations come under the 2007 regulations and how many investigations come under the 2017 regulations:

Investigations under 2007 MLR	32
Investigations under 2017 MLR	6
Investigations opened under both MLR	6

The figures above reflect our investigation portfolio as at 13 June 2019. Please note that the change in figures from our response to your previous request for the investigations under the 2017 MLR reflects the evolving nature of our Enforcement portfolio (in terms of the number and nature of investigations on at any one time).

The below table sets out the number of investigations under the 2007 and 2017 MLRs that relate to firms and individuals:

2007	
Firms	21
Individuals	11
2017	

Firms	2
Individuals	4

If you are unhappy with the decision made in relation to your request, you have the right to request an internal review. If you wish to exercise this right you should contact us within sixty days of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner at Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF. Telephone: 01625 545 700. Website: www.ico.org.uk

Yours sincerely

Information Disclosure Team