

Email: foi@fca.org.uk

Date: 24 April 2018

Our Ref: FOI6346

Dear

Freedom of Information: Right to know request

We refer to your request under the Freedom of Information Act 2000 dated 25 March 2019 for the following information:

"Has Collateral (UK) Ltd ever appeared on the list of 'Unauthorised firms and Individuals'?

<https://www.fca.org.uk/consumers/unauthorised-firms-individuals>

Have any of the directors of Collateral (UK) Ltd ever appeared on the list of 'Unauthorised firms and Individuals'?"

Your request has now been considered, and I can confirm that neither Collateral (UK) Limited nor any of its directors have ever appeared on the list of unauthorised firms or individuals.

If you are unhappy with the decision made in relation to your request, you have the right to request an internal review. If you wish to exercise this right you should contact us within 40 working days of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner at Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF. Telephone: 01625 545 700. Website: www.ico.org.uk.

Yours sincerely

Information Disclosure Team

Financial Conduct Authority