

Email: foi@fca.org.uk

Date: 24 April 2018

Our Ref: FOI6345

Dear

Freedom of Information: Right to know request

We refer to your request under the Freedom of Information Act 2000 ("the Act") dated 23 March 2019, concerning Collateral (UK) Limited.

Your request has now been considered, and we will respond to each point in turn.

Please confirm:

1. the application was for interim approval (and if it was not what the application was for)

The application by Collateral (UK) Ltd was for full Part 4A authorisation.

2. the date on which the application was withdrawn

The FCA requested Collateral to cease conducting regulated activities for which it did not have authorisation on 29 January 2018.

Collateral withdrew the application for authorisation on 7 February 2018

If you are unhappy with the decision made in relation to your request, you have the right to request an internal review. If you wish to exercise this right you should contact us within 40 working days of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner at Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF. Telephone: 01625 545 700. Website: www.ico.org.uk

Yours sincerely

Information Disclosure Team

Financial Conduct Authority