

16 April 2019

Our Ref: FOI6328

Freedom of Information: Right to know request

I refer to your request under the Freedom of Information Act 2000 ("the Act"), dated 16 March 2019, for the following information:

"1. Number of firms (in the UK) regulated by the FCA that are permitted to safeguard / administer assets and/or client money for the year 2018 [classified by Small, Medium, Large]

2. Value of client assets held by these firms for the year 2018 [classified by Small, Medium, Large and derived from end of month balances for December 2018 as reported in the CMAR]

3. Value of client money held by these firms for the year 2018 [classified by Small, Medium, Large and derived from end of month balances for December 2018 as reported in the CMAR]"

Firstly, please accept our sincere apologies for the delay in responding.

Your request has now been considered, and I can confirm that we hold the information you are seeking, as set out in the table below:

Firms Classification	Number of firms	Client assets held (GBP 000'S)	Client money held (GBP 000'S)
CASS large firm	38	12,012,833,560	100,446,528
CASS medium firm	611	1,289,997,209	26,980,019
CASS small firm	161	140,920	36,904
Total	810	13,302,971,689	127,463,451

If you are unhappy with the decision made in relation to your request, you have the right to request an internal review. If you wish to exercise this right you should contact us within 40 working days of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner at Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF. Telephone: 01625 545 700. Website: www.ico.org.uk

Yours sincerely,

Information Disclosure Team
Financial Conduct Authority