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[By email]

9 April 2019

Our Ref: FOI6321

### **Freedom of Information: Right to know request**

Thank you for both your requests dated 12 March 2019 under the Freedom of Information Act 2000 (the Act). You submitted two separate requests which have been aggregated and processed in accordance with the Act as one request under the reference shown above. Under guidance issued by the Ministry of Justice, a public authority may aggregate requests in the following circumstances (amongst others):

- Two or more requests for information have been made to the same public authority;
- The requests are from the same person;
- The requests relate to the same or similar information.

The Guidance can be found [here](#) (see page 3).

We have therefore aggregated both your requests and number these requests for ease of reference in this response:

*"Q1) How many enforcement investigations have been opened under the Senior Managers Regime where one or more of the individuals investigated is a Senior Manager?"*

*Q2) How many enforcement investigations opened under the Senior Managers Regime, where one or more of the individuals investigated is a Senior Manager, remain open as of 28 February 2019?"*

Your request has now been considered and I can confirm we hold the information you have requested.

Regarding Q1, 19 investigations have been opened under the Senior Managers Regime since it came into force on 7 March 2016.

Note: This includes Senior Managers where their SMF functions are currently inactive or have been withdrawn but the function held is relevant for the purposes of misconduct being investigated.

In relations to Q2, 9 investigations remain open as of 28 February 2019.

If you are unhappy with the decision made in relation to your request, you have the right to request an internal review. If you wish to exercise this right you should contact the Information Disclosure Team within 40 working days of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner at Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF. Telephone: 01625 545 700. Website: [www.ico.org.uk](http://www.ico.org.uk).

Yours sincerely,

**Information Disclosure Team**