

Email:       foi@fca.org.uk

[By email]

8 February 2019

Our Ref:       FOI6200

### **Freedom of Information : Right to know request**

Thank you for your request under the Freedom of Information Act 2000 (the Act), for the following information:

*"1. How many CASS audit opinions were received by the Financial Conduct Authority between 1st November 2018 and 31st December 2018?*

*2. How many CASS audit opinions received by the Financial Conduct Authority between 1st November 2018 and 31st December 2018 were qualified?*

*3. How many CASS audit opinions received by the Financial Conduct Authority between 1st November 2018 and 31st December 2018 were adverse?*

*4. How many CASS audit opinions received by the Financial Conduct Authority between 1st November 2018 and 31st December 2018 were clean?"*

Your request has now been considered and the relevant information is can be found in the table below.

<b>CASS audit opinion</b>	<b>Total</b>
Adverse	4
Qualified	43
Clean	108
Grand Total	155

If you are unhappy with the decision made in relation to your request, you have the right to request an internal review. If you wish to exercise this right you should contact us within 40 working days of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner at Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF. Telephone: 01625 545 700. Website: [www.ico.org.uk](http://www.ico.org.uk).

Yours sincerely

Information Disclosure Team