

Email: foi@fca.org.uk

[By email]

29 January 2019

Our Ref: FOI6168

Freedom of Information: Right to know request

Thank you for your request under the Freedom of Information Act 2000 (the Act), made on Monday 31 December 2018, for the following information:

1) The number of ISA sales in Sterling (£) recorded in submissions by FCA regulated firms made to Gabriel

2) The number of ISA sales for each of the above years in £ broken down by location, using two letter postcodes

Please provide the data for each of the last five years with a year-end 31st December, or whichever year-end is most convenient."

Your request has now been considered.

We can confirm that we hold the information you are requesting for stock and shares ISAs only. Please see attached a spreadsheet setting out our response. For point 2 of your request, the data has been broken down by postcode using either the first one or two letters.

Please note, the data we are providing is subject to the following caveats:

- The dataset only captures new sales: transfers, top-ups, alterations, increments and renewals are not included.
- The dataset does not include all data on transactions made through nominee accounts (such as those used in platforms).
- The reported values in the attached report refer to the total premium amount. The total premium amount is made up of single and regular premiums. The annualised premium amount is indicative of the actual initial investment made by the customer; however, it does not reflect the exact premium amount invested.
- The customer postcode field is an optional field that firms are not required to report. When a firm does not report this, the premium value is assigned to the 'unknown postcode' field.

Your right to complain under the Act

If you are unhappy with the decision made in relation to your request, you have the right to request an internal review. If you wish to exercise this right you should contact us within 60 working days of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner at Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF. Telephone: 01625 545 700. Website: www.ico.org.uk

Yours sincerely

Information Disclosure Team
Financial Conduct Authority