

Email: foi@fca.org.uk

(By email)

28 December 2018

Our Ref: FOI6133

Dear

Freedom of Information: Right to know request

We refer to your email of 30 November 2018 sent to the FCA's Press Office in respect of a response to a Freedom of Information request (FOI5414) published on our website. Specifically you requested the following:

"I'm just following up on an FOI response dating from November 2017 confirming that at that point the FCA had 9 spoofing investigations open.

I was wondering if you could confirm whether that is still the case or if more have been opened and/or closed?"

Your request has been passed to the FCA's Information Disclosure Team to be processed under the Freedom of Information Act 2000 ("the Act").

Your request has now been considered and we can confirm that, since November 2017, no further spoofing investigations have been opened.

Of the nine investigations referred to in our response to FOI5414, three have been closed. There are therefore six spoofing investigations ongoing as at 10 December 2018.

Please note that the number of spoofing investigations refers only to investigations where the spoofing may be in breach of the Market Abuse Regulation ("MAR") which came into force in July 2016.

If you are unhappy with the decision made in relation to your request, you have the right to request an internal review. If you wish to exercise this right you should contact us within three months of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner at Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF. Telephone: 01625 545 700. Website: www.ico.org.uk.

Yours sincerely

Information Disclosure Team
Financial Conduct Authority