

Email: foi@fca.org.uk

(By email)

Date: 10 December 2018

Our Ref: FOI6115

Dear

Freedom of Information: Right to know request

We refer to your request of 22 November 2018 under the Freedom of Information Act 2000 ("the Act") for the following information:

"I would like to know how and by whom a name for an investigation is given or arrived at. What are the criteria for coming up with a specific name?"

Your request has now been considered.

The FCA's Enforcement and Market Oversight ("EMO") is the division that conducts formal enforcement investigations. Where an investigation is given an operation name, this name is selected at random by the Intelligence department within EMO, who will also ensure that no other investigation has the same name.

For the period between 2014 to 22 August 2017, investigation names were chosen at random from a list based on UK towns and cities. Since 23 August 2017, investigation names are chosen at random from a list of American towns and cities.

This process does not vary between departments within EMO.

If you are unhappy with the decision made in relation to your request, you have the right to request an internal review. If you wish to exercise this right you should contact us within three months of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner at Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF. Telephone: 01625 545 700. Website: www.ico.org.uk

Yours sincerely

Information Disclosure Team
Financial Conduct Authority