

(By email)

03 December 2018

Our Ref: FOI6092

Dear

Freedom of Information: Right to know request

Thank you for your request under the Freedom of Information Act 2000 ("the Act") dated 07 November 2018 for the following information:

"1. How many CASS audit opinions were received by the Financial Conduct Authority between 1st November 2016 and 31st December 2016?"

2. How many CASS audit opinions received by the Financial Conduct Authority between 1st November 2016 and 31st December 2016 were qualified?"

3. How many CASS audit opinions received by the Financial Conduct Authority between 1st November 2016 and 31st December 2016 were adverse?"

4. How many CASS audit opinions received by the Financial Conduct Authority between 1st November 2016 and 31st December 2016 were clean?"

Your request has been considered and the answers are set out below;

- 1. 132**
- 2. 42**
- 3. 14**
- 4. 76**

Your right to complain under the FoI Act

If you are unhappy with the decision made in relation to your request, you have the right to request an internal review. If you wish to exercise this right you should contact us within three months of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner at Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF. Telephone: 01625 545 700. Website: www.ico.org.uk

Yours sincerely

Information Disclosure Team

