

Email: foi@fca.org.uk

20 November 2018

Our Ref: **FOI6071**

Dear

Freedom of Information : Right to know request

Thank you for your request of 23 October 2018 under the Freedom of Information Act 2000 (the Act), for the following,

"A1 Financial Solutions ref 304068 & A1 Financial Solutions Limited ref 647921.

We are advised that in order for A1 Financial Solutions Limited to register with the FCA they require to take over the legal obligations and liabilities of the former partnership and that a document known as a deed poll is completed and lodged with the FCA.

Please confirm that the position we have narrated above is correct and let us have a copy of the deed poll undertaken given by A1 Financial Solutions Limited."

Your request has now been considered. The deed poll you have requested can be found attached.

The following link to our website explains what a firm must do and its obligations when carrying out a change of legal status application. <https://www.fca.org.uk/firms/change-legal-status>.

The position you have narrated above is correct and further information can be found via the link provided and on the first page of the deed poll.

Yours sincerely

Information Disclosure Team